

1 **Seasonal inhomogeneity in cloud precursors over Gangetic Himalayan region during**
2 **GVAX campaign**

3 **U. C. Dumka^{1*}, Deepika Bhattu², S. N. Tripathi², D. G. Kaskaoutis³, and B. L. Madhavan⁴**

4 ¹*Aryabhata Research Institute of observational sciences (ARIES), Nainital, India*

5 ²*Department of Civil Engineering, Indian Institute of Technology, Kanpur, India*

6 ³*Department of Physics, School of Natural Sciences, Shiv Nadar University, India*

7 ⁴*Leibniz Institute for Tropospheric Research (TROPOS), Germany*

8 [E-mail: dumka@aries.res.in; dimitris.kaskaoutis@snu.edu.in; snt@iitk.ac.in]

9
10 **Running title: CCN properties over Gangetic Himalayan region**

11
12 **Abstract**

13 Atmospheric aerosols are key elements in cloud microphysics, the hydrological cycle
14 and climate by serving as cloud condensation nuclei (CCN). The present work analyzes
15 simultaneous measurements of number concentration of CCN (N_{CCN}) and condensation
16 nuclei (N_{CN}) obtained at Nainital, in the Gangetic-Himalayan (GH) region, during the
17 frameworks of Ganges Valley Aerosol Experiment (GVAX), June 2011 to March 2012. The
18 N_{CCN} , N_{CN} and activation ($AR = N_{CCN}/N_{CN}$) at 0.31-0.33% S (supersaturation ratio), exhibit
19 significant daily, monthly and seasonal variations within a range of 684-2065 cm^{-3} for N_{CCN} ,
20 1606-4124 cm^{-3} for N_{CN} , and 0.38-0.60 for AR, suggesting large inhomogeneity in aerosol
21 properties, types and sources, which control the degree of aerosol potential activation. Thus,
22 transported aerosols from the Ganges valley and abroad, the boundary-layer dynamics and
23 atmospheric modification processes play an important role in aerosol-cloud interactions over
24 the GH region. The N_{CN} and N_{CCN} show monthly-dependent diurnal variations with afternoon
25 maxima due to transported aerosols from the Ganges valley up to the Himalayan foothills,
26 while the AR is lower during these hours implying lower hygroscopicities or smaller sizes of
27 the transported aerosols. The dependence of N_{CCN} on S is highest during Dec-Mar and lowest
28 during monsoon (Jun-Sep), suggesting different aerosol chemical composition. Comparison
29 between Nainital and Kanpur shows that N_{CN} and N_{CCN} are much lower at Nainital, while the
30 similarity in AR suggests aerosols of similar type, source and chemical composition uplifted
31 from the Ganges valley to the Himalayan foothills.

32
33 **Keywords:** Cloud Condensation Nuclei, Activation Ratio, transported aerosols, Gangetic
34 Himalayan region, Ganges Valley Aerosol Experiment

35 *Corresponding Author: Dr Umesh Chandra Dumka, ARIES, Manora Peak, Nainital
36 E-mail: dumka@aries.res.in; ucdumka@gmail.com

38 1. Introduction

39 Cloud condensation nuclei (CCN) are hygroscopic particles that can activate at
40 various supersaturation (S) levels to cloud droplets. CCN are thus key elements of cloud
41 microphysics, the hydrological cycle and climate from local/regional to global scales
42 (Lohmann and Feichter, 2005). The ability of particles to be CCN strongly depends on their
43 size distribution and chemical composition (Fitzgerald, 1973; Pruppacher and Klett, 1997;
44 Dusek et al., 2006; Lance et al., 2009; Srivastava et al., 2013). Furthermore, cloud
45 microphysical properties, such as cloud-droplet size, cloud albedo and lifetime, cloud-top
46 height and precipitation rate are influenced by the prevailing CCN, thereby affecting the
47 climate system (Twomey, 1977; Pruppacher and Klett, 1997; Ramanathan et al., 2001;
48 Andreae et al., 2004; Rosenfeld et al., 2008). Aerosol-cloud interactions (aerosol indirect
49 effect) are still a significant source of uncertainty in climate modelling and dynamics (IPCC,
50 2007) due to complicated cloud microphysics phenomena, their impact on radiative
51 properties, precipitation and the hydrological cycle (Andreae and Rosenfeld, 2008). As a
52 consequence, CCN measurements and knowledge of their spatio-temporal evolution are
53 challenging tasks to quantify the aerosol indirect effect on climate.

54 Over the last few decades, emissions of anthropogenic aerosols and pollutants have
55 dramatically increased over the Indo-Gangetic Plains (IGP), India because of the rapid
56 increase in population, industrialization and urbanization (Lawrence and Lelieveld, 2010; Lu
57 et al., 2011). Despite the availability of numerous studies on aerosol optical, physical and
58 chemical properties over IGP and Gangetic-Himalayan (GH) region (Jethva et al., 2005;
59 Tripathi et al., 2005; Dumka et al., 2008, 2014a; Dey and Di Girolamo, 2010, 2011;
60 Lawrence, 2011; Srivastava et al., 2011; Kaskaoutis et al., 2012), extensive measurements
61 and analysis of CCN are still sparse given their role in cloud formation. Recent studies
62 (Patidar et al., 2012; Srivastava et al., 2013; Bhattu and Tripathi, 2014; Ram et al., 2014) over
63 IGP, using both ground-based and airborne observations, have reported significant seasonal
64 variations of N_{CCN} with maximum during winter and minimum during monsoon.
65 Furthermore, the findings from a recent campaign “Cloud Aerosol Interaction and
66 Precipitation Enhancement Experiment (CAIPEEX)” constitute an important contribution to
67 this research over a climatically sensitive area, where the onset, intensity and duration of the
68 monsoon affect climate, ecosystems and the economy (Chakravarty et al., 2011; Dipu et al.,
69 2013; Padmakumari et al., 2013).

70 The current work deals with measurements of condensation nuclei (N_{CN}) and Cloud
71 Condensation nuclei concentrations (N_{CCN}) obtained through the Atmospheric Radiation
72 Measurement-Mobile Facility one (AMF-1) deployed at Aryabhata Research Institute of
73 observational sciences (ARIES), Nainital (29.4° N, 79.5° E; 1958 m above mean sea level),
74 during the intensive field campaign Ganges Valley Aerosol Experiment (GVAX; Manoharan

75 et al., 2014; Dumka and Kaskaoutis, 2014; Dumka et al., 2014b). [Air mass back-trajectory](#)
76 [analysis via the Hybrid Single Particle Lagrangian Integrated Trajectory \(HYSPLIT; Draxler](#)
77 [et al., 2012\)](#) model and variations in boundary-layer height are examined to investigate the
78 role of transported aerosols and upslope airflows on aerosol-cloud interactions over Nainital.
79 Moreover, simultaneous measurements of N_{CCN} and N_{CN} obtained in Kanpur, located in the
80 central IGP, during June-August of 2011 are also analysed and compared with those at
81 Nainital to explore the spatio-temporal and altitude dependent CCN properties over the GH
82 region.

83

84 **2. Observation Site, Instruments and Data**

85 **2.1. Site Description and Meteorological Parameters**

86 [In-situ measurements of \$N_{CCN}\$ and \$N_{CN}\$](#) were carried out during June 2011 to March
87 2012 at ARIES, Nainital, which is an elevated (1958 m) site located in the central Himalayan
88 region approximately ~ 300 km northeast of New Delhi ([Fig. 1](#)). Nainital, with negligible
89 industrial activity and a population of about half a million (census of 2011), is an excellent
90 site for monitoring background aerosol concentration as well as long-range transported
91 aerosols (Dumka et al., 2010, 2011).

92 [Weather conditions at this](#) site can be classified into four seasons: winter (DJF;
93 December-February), pre-monsoon (MAM; March-May), monsoon (JJA; June-August) and
94 post-monsoon (SON; September-November) (Dumka et al., 2010). During the frameworks of
95 [the GVAX](#) campaign, the average wind speed was 2.33 ± 1.75 , 2.02 ± 1.39 , 2.26 ± 1.49 and
96 $1.83 \pm 1.28 \text{ m s}^{-1}$ during winter, pre-monsoon (only March data), monsoon and post-
97 monsoon, respectively. Northwesterly stronger winds [that](#) dominated most of the time (late
98 post-monsoon to March) are responsible for the transport of air masses from arid/semi-arid
99 regions of northwestern India, Pakistan and west Asia (Jaidevi et al., 2011). The percentage
100 of southeasterly monsoon winds increased during the monsoon, and continued to be high
101 during September, leading to heavy rains and aerosol washout. During the post-monsoon,
102 stable atmospheric conditions prevailed over the site, while in winter, western disturbances
103 favoured some rain and/or snowfall in January and February. [Seasonal temperature \(in °C\)](#)
104 ranged from 17 to 26 (with mean 20 ± 2) in JJA; 9 to 26 (17 ± 3) in post-monsoon; 0 to 24
105 (10 ± 4) in winter and 4 to 27 (15 ± 5) in March. The average relative humidity (RH in %)
106 was highest during the monsoon (> 90%) and [minimal \(~ 45%\)](#) during March, while rainfall
107 [from](#) June to September accounted for more than 85% of the total rainfall during the study
108 period.

109

110 **2.2. Condensation Nuclei (CN) and Cloud Condensation Nuclei (CCN) measurements**

111 N_{CCN} and N_{CN} were measured by the Atmospheric Radiation Measurement (ARM)
112 Aerosol Observing System (AOS; Jefferson, 2011; Dumka and Kaskaoutis, 2014; Dumka et

113 al., 2014b), which is the primary platform used for in-situ aerosol measurements at the
114 surface. The number concentration of CN was measured by a butanol based Condensation
115 Particle Counter (CPC; TSI Model 3010), which is a compact and rugged instrument that
116 measures N_{CN} in diameter range 0.01-3.0 μm . The particles are allowed to grow large enough
117 to be counted with a simple optical particle counter in the presence of butanol. The
118 supersaturation ratio of butanol vapour in the condenser controls the minimum detectable
119 particle size range of the counter. The instrument has a high signal-to-noise ratio that attains
120 accurate detection of small particles. The upper concentration limit of the CPC is 10,000
121 particles per cubic centimetre.

122 N_{CCN} were measured by a Droplet Measurement Technology (DMT) continuous flow
123 single column CCN Counter [CCNC; Roberts and Nenes, 2005; Lance et al., 2006; Rose et
124 al., 2008). It measures activated particle concentrations being converted to cloud droplets by
125 condensation of water at a given S . According to the Köhler equation, the vapor pressure or S
126 above an aqueous drop will vary with let drop surface tension and size and the solute
127 concentration or chemical composition. During GVAX, the S of the CCN counter was
128 stepped through 7 intervals with 5 minutes at each setting (Jefferson, 2011). The S on the
129 CCN datastream is calculated using a heat transfer and fluid dynamics flow model (Lance et
130 al., 2006; Jefferson, 2010, 2011; and reference cited therein). The fluid dynamic flow model
131 uses the calibrated temperatures, pressures, and flows within the instrument to calculate the S .
132 Changes in S are due to changes within the column thermal properties of the instrument. This
133 CCN counter was calibrated at the beginning and end of the campaign (Jefferson, 2010, 2011;
134 http://www.arm.gov/publications/tech_reports/handbooks/aos_handbook.pdf).

135 N_{CCN} measurements were considered at 5-min intervals (1-min measurements
136 averaged into 5 min) for each S level, while N_{CN} were measured continuously (1-min time
137 interval, also averaged for 5 min) by the CPC. This procedure leads to ~41 different set of
138 measurements per day, which then were averaged on daily, monthly and seasonal basis. The
139 N_{CN} measurements used in the analysis are compared with those of N_{CCN} , since they were
140 averaged at the same time intervals as the N_{CCN} measurements for each S level.

141 In order to compare N_{CN} and N_{CCN} concentrations between GH and IGP regions,
142 simultaneous measurements of N_{CN} and N_{CCN} were performed in Kanpur (independent of the
143 GVAX campaign). Following methodology given by Latham and Nenes (2011), a
144 supersaturation depletion correction was applied to the Kanpur datasets, while the CCN
145 counter has been calibrated before and after the deployment. Details of the measurement
146 protocol at Kanpur, data analysis methods, instrument calibration, accuracy and errors are
147 presented elsewhere (Patidar et al., 2012; Srivastava et al., 2013; Bhattu and Tripathi, 2014;
148 Ram et al., 2014).

149

150 3. Results and Discussions

151 3.1. Temporal variation of N_{CN} , N_{CCN} and Activation Ratio (AR)

152 Figure 2 shows the daily-averaged values of N_{CCN} , N_{CN} and AR at four S (0.17-
153 0.22%, 0.31-0.33%, 0.46-0.48% and 0.75-0.78%) levels at Nainital during the GVAX field
154 campaign. The vertical solid line separates the S levels for June to September 2011 (left)
155 from those during November 2011 to March 2012 (right). Unfortunately, the N_{CN}
156 measurements were not available in October 2011 due to instrument technical problems.
157 Significant temporal variations are seen during the entire period with daily-averaged N_{CN}
158 ranging from 684 - 5479 cm^{-3} (mean $\pm 1\sigma$ of 2630 ± 969), 702 - 6555 cm^{-3} (2873 ± 1162),
159 687 - 8183 cm^{-3} (3193 ± 1467) and 282 - 9916 cm^{-3} (3588 ± 1978) at 0.17-0.22%, 0.31-
160 0.33%, 0.46-0.48% and 0.75-0.78%, respectively. The slight increase in CN at higher S is an
161 artifact of the time-sampling differences between CN and CCN at different S and it would
162 bias diurnal trends and spectral plots. The corresponding N_{CCN} are on the order of 9-2180 cm^{-3}
163 (mean $\pm 1\sigma$ of 800 ± 437), 24-3649 cm^{-3} (1421 ± 728), 44-5411 cm^{-3} (1844 ± 973) and 97-
164 6414 cm^{-3} (2138 ± 1260), respectively, which are typical for the GH region (Gajananda et al.,
165 2005; Komppula et al. 2009; Sellegri et al. 2010; Moorthy et al., 2011; Hyvärinen et al.,
166 2011); σ corresponds to the standard deviation of the means over the whole measuring
167 period. N_{CCN} increases significantly with S implying numerous small particles. The monthly
168 statistics of N_{CCN} , N_{CN} and AR at 0.31-0.33% S are listed in Table 1. The large differences
169 between maximum and minimum values ($\sim 3 \times 10^3 \text{ cm}^{-3}$ for N_{CCN} and $\sim 5 \times 10^3$ for N_{CN}) are
170 indicative of the large variation of CCN and CN over the observation site. The highest
171 monthly values of N_{CCN} ($2065 \pm 476 \text{ cm}^{-3}$) and N_{CN} ($4124 \pm 747 \text{ cm}^{-3}$) are observed in March,
172 while the corresponding minimum ($684 \pm 396 \text{ cm}^{-3}$ and $1606 \pm 453 \text{ cm}^{-3}$) in August. The
173 minimum N_{CN} monthly-mean value is slightly higher than that reported at Hanle, a high-
174 altitude (5000 m amsl) station in the northwestern arid trans-Himalayan region. The N_{CN} at
175 Hanle during August to November 2009 varied between 80 and 8000 cm^{-3} , with median and
176 mean values of $\sim 950 \text{ cm}^{-3}$ and $1150 \pm 500 \text{ cm}^{-3}$ (Moorthy et al., 2011). This suggests that the
177 Nainital site is under the influence of increased loading of mostly transported aerosol plumes
178 from the IGP, which cannot cross the mountainous range and affect Hanle located in the lee
179 (northern) slopes of the Himalayas.

180 The N_{CN} values are somewhat comparable to those reported for another high altitude
181 (2180 m amsl) site at Mukteshwar (3108 ± 1570 ; 4010 ± 1965 ; 3195 ± 2683 and 2124 ± 1234
182 cm^{-3} , respectively for the years 2006, 2007, 2008 and 2009, Komppula et al., 2009) and about
183 an order of magnitude lower than those ($25860 \pm 11707 \text{ cm}^{-3}$) observed over the polluted
184 Gual Pahari site (243 m amsl in the IGP) during the year 2009 (Hyvärinen et al., 2011).
185 Based on three years of continuous measurements in Mukteshwar (close to Nainital),
186 Komppula et al. (2009) reported that the monthly averages of the total aerosol number

187 concentration varied from $\sim 5700 \text{ cm}^{-3}$ to $\sim 1200 \text{ cm}^{-3}$ from pre-monsoon to monsoon.
188 Measurements at the high altitude (5079 m amsl) Nepal Climate Observatory-Pyramid,
189 (Sellegrì et al., 2010) have shown annual average aerosol number concentration on the order
190 of $860 \pm 55 \text{ cm}^{-3}$, exhibiting a strong seasonal variation with pre-monsoon and post-monsoon
191 high ($\sim 1500 \text{ cm}^{-3}$ and 1300 cm^{-3}) and monsoon low ($\sim 450 \text{ cm}^{-3}$). Since, the Pyramid is a
192 remote site in the Everest area, the observed aerosol is mostly transported from the Indian
193 polluted regions, while the annual pattern of N_{CN} is a combination of the IGP aerosol
194 variability and boundary-layer dynamics (Sellegrì et al. 2010). On the other hand, airborne
195 studies have shown significant vertical heterogeneity in N_{CN} over the GH region, influenced
196 by local emissions (mostly within the boundary layer), long-range transport (mostly at higher
197 altitudes) and changes in mixing height (Dipu et al., 2013; Padmakumari et al., 2013;
198 Srivastava et al., 2013). Airborne profiles during the CAIPEEX campaign in May 2009 have
199 shown surface concentrations of $1100 - 1500 \text{ cm}^{-3}$ and $800 - 1600 \text{ cm}^{-3}$ at higher elevations
200 (between 2 and 4 km) over Pune, while the respective concentrations over Pathankot (a site in
201 the GH region) were in the range of $1300 - 2800 \text{ cm}^{-3}$ at the surface and $2500 - 6800 \text{ cm}^{-3}$ at
202 higher altitudes (between 2 and 4 km) (Padmakumari et al., 2013). The higher N_{CN} over the
203 GH region is attributed to the influence of dust plumes from the Thar Desert and southwest
204 Asia that also affect Nainital during the pre-monsoon season. The aerosol profiles revealed a
205 nearly homogeneous vertical layer up to 3-4 km with concentrations of $\sim 800-1700 \text{ cm}^{-3}$ and a
206 decrease afterwards over Bareilly site in the IGP, just south of Nainital (Padmakumari et al.,
207 2013). Using long-term (January 1996 to December 2003) analysis, Gajananda et al. (2005)
208 have studied the aerosol number concentration at three altitude levels (1150, 2050 and 2530
209 m amsl) in the northwestern Indian Himalayas reporting mean concentrations ranging from
210 4352 to 1392 cm^{-3} from the lowest to highest level, respectively. The above comparisons, as
211 well as the comparison between Nainital and Kanpur (see section 3.7), reveal that the
212 observing site is located at an intermediate zone between the polluted IGP and the mostly
213 clean Himalayan range.

214 The N_{CCN} is much lower during the monsoon months because of high precipitation
215 and washout of the suspended particles. Similar annual variation (winter high and monsoon
216 low) was found in Kanpur (Patidar et al., 2012), but with much higher N_{CCN} values compared
217 to Nainital. Based on aircraft measurements over Kanpur, Srivastava et al. (2013) reported
218 high values of N_{CCN} at $S = 0.84\%$, such as $5293 \pm 978 \text{ cm}^{-3}$ and $4431 \pm 1552 \text{ cm}^{-3}$ on 2nd July
219 2009 (at 10:35-12:25 and 14:15-15:15 hours local time, respectively). High mean values
220 [3523 (0.81), 4572 (0.64) and 2361 (0.36)] of N_{CCN} at $S = 0.3\%$ were also reported for
221 March, May-June and August, respectively over Kanpur (Bhattu and Tripathi, 2014); the
222 values in parenthesis are the AR for the respective periods.

223 Similar to N_{CCN} and N_{CN} , the AR exhibits significant temporal variation in its daily
224 mean values (Fig. 2), ranging from 0.01 to 0.67 (mean of 0.32 ± 0.13), 0.03 to 0.77 ($0.51 \pm$
225 0.13), 0.06 to 0.78 (0.58 ± 0.12) and 0.03 to 0.80 (0.60 ± 0.14) at 0.17-0.22%, 0.31-0.33%,
226 0.46-0.48% and 0.75-0.78% S levels, respectively. An AR value close to 1 indicates the
227 presence of aged background aerosol (Andreae and Rosenfeld, 2008), while over the
228 observational site the monthly-mean values are much lower than 1 at 0.31-0.33% S level
229 (Table 1). The highest AR values during November are associated with biomass burning in
230 northwestern India and aged transported aerosol plumes mixed with other organic or
231 inorganic particles that are more hygroscopic (Lee et al., 2010). On the other hand, the
232 observational site is above the planetary boundary layer in winter and, therefore, the aged free
233 tropospheric aerosols may also play a role in the high AR values in that season (Venzac et al.,
234 2009).

235 The mean values of N_{CN} and N_{CCN} observed at Nainital are higher than those reported
236 during the Indian Ocean Experiment (Hudson and Yum, 2002) and lower than those found
237 over the Korean Peninsula (Yum et al., 2005, 2007). During the Indian Ocean Experiment,
238 Hudson and Yum (2002) found average values of $1808 \pm 41 \text{ cm}^{-3}$, $1190 \pm 128 \text{ cm}^{-3}$ and 0.66
239 for N_{CN} , N_{CCN} and AR, respectively, at 1.0% S. Very high values of N_{CN} ($6444 \pm 2732 \text{ cm}^{-3}$,
240 $4644 \pm 2454 \text{ cm}^{-3}$ and $9804 \pm 4142 \text{ cm}^{-3}$) and N_{CCN} ($3445 \pm 1158 \text{ cm}^{-3}$, $2475 \pm 955 \text{ cm}^{-3}$ and
241 $3178 \pm 1269 \text{ cm}^{-3}$) at 0.49% S during August, September and October, respectively are
242 reported at Shouxian, a polluted site in China (Liu et al., 2011). Aircraft measurements over
243 Nainital on 29th June 2009, revealed average values of $1363 \pm 327 \text{ cm}^{-3}$ and $18,292 \pm 3770$
244 cm^{-3} (0.84% S) for CCN and CN, respectively at the altitude range 518-5486 m (Srivastava et
245 al., 2013). Recently, Konwar et al. (2012) reported N_{CCN} of 1153-2470 cm^{-3} (for the north-
246 eastern part of India on 30th August and 4th-6th September 2009) and 3361-10,635 cm^{-3} (for
247 extremely polluted conditions in the IGP on 14th-25th August 2009) at 0.4% S during the
248 CAIPEEX campaign. Shrestha et al. (2013) have studied the CCN properties over the central
249 Nepal region (Besisahar) and reported mean N_{CCN} of $435 \pm 98 \text{ cm}^{-3}$ and $750 \pm 50 \text{ cm}^{-3}$, during
250 clean and hazy/polluted skies, respectively at S ranging from 0.35% to 0.45%; N_{CCN} at
251 another site (Dhulikhel) in Nepal was found to be 700 cm^{-3} at **S = 0.3%**. Table 2 summarises
252 the values of N_{CCN} , N_{CN} and AR obtained at Nainital (GVAX campaign) with those measured
253 over high altitude sites over the globe. Asmi et al. (2012) have reported similar value of N_{CCN}
254 at Puy-de-Dome during summer (200-2000 cm^{-3}) and winter (50-3000 cm^{-3}) with N_{CN} up to
255 10,000 cm^{-3} . The AR (at 0.24% S) ranges between 0.2 and 0.7 at Puy de Dome, which is
256 comparable to that at Nainital. The N_{CN} and N_{CCN} at Mt. Sonnblick (Hitzenberger et al.,
257 1999), Storm Peak Laboratory (Friedman et al., 2013) and Jungfraujoch (Jurányi et al, 2010)
258 are lower than those obtained at Nainital, since these mountainous sites are far from polluted
259 sources like IGP.

260 3.2. Diurnal Variation

261 The mean diurnal variations of N_{CCN} , N_{CN} and AR at 0.31-0.33% S for characteristic
262 months of each season are shown in Fig. 3a-c, respectively. A pronounced diurnal variation
263 of N_{CCN} and N_{CN} is observed in November, December and March with prominent **afternoon**
264 **peaks**, whereas the diurnal pattern is smooth and nearly vanished in June. **During November**
265 **to March, N_{CCN} and N_{CN} gradually increase from 08:00 until 15:00-18:00 hours to peak**
266 **values, and then decrease to nighttime minima. Increased N_{CN} and N_{CCN} and larger variability**
267 **around noon to early afternoon could be due to nucleation events and new particle formation**
268 (Moorthy et al., 2011).

269 Diurnal patterns for AR are opposite those of N_{CCN} and N_{CN} , with lower values during
270 noon and late afternoon hours and larger values in the morning. The AR diurnal patterns are
271 similar for the months of November and March, while the AR values are much lower in June.
272 Diurnal variations are influenced by the planetary boundary layer dynamics and the
273 mountain-valley winds. The role of mountain-valley breeze (upslope valley winds and
274 downslope mountain winds during daytime and nighttime, respectively) and topography in
275 diurnal variations of near-surface aerosol concentrations and trace gases at high-altitude sites
276 has been well documented (Nishita et al., 2007; Panday and Prinn, 2009; Panday et al., 2009;
277 Shrestha et al., 2010; Dumka et al., 2010; Sellegri et al. 2010; Moorthy et al., 2011; Sarangi
278 et al., 2014). The upslope winds bring relatively polluted air masses from the IGP to the
279 mountain slopes and play an important role in diurnal variations of aerosol over the GH
280 region (Kleissl et al., 2007; Raatikainen et al., 2014). Diurnal patterns of N_{CCN} and N_{CN} are
281 similar to the diurnal variations of the near surface aerosol and black carbon mass
282 concentrations at Nainital (Pant et al., 2006; Dumka et al., 2010) due to uplift of pollutants
283 from the IGP (Raatikainen et al., 2014). In contrast, the diurnal variation of N_{CCN} in Kanpur
284 (Patidar et al., 2012) presents a prominent peak in the morning (~08:00 hours) and a
285 secondary one in the evening (20:00 hours), closely following the diurnal variation of local
286 aerosol emissions and mixing height dynamics (Tripathi et al., 2005). It is, therefore,
287 concluded that both N_{CCN} and N_{CN} are strongly driven by daily aerosol and pollutant
288 variations, of which surface heating, boundary-layer dynamics and long-range transport play
289 a prominent role (Dumka et al., 2013).

290

291 3.3. Variations with meteorological parameters

292 It is well known that $N_{CCN}(S)$ (CCN spectra) depends on aerosol physico-chemical
293 properties, meteorological conditions, and transport processes (Elminir, 2005; Cheng et al.,
294 2008). Therefore, meteorological parameters, such as ambient temperature, surface wind
295 speed and direction, measured by ARM mobile Facility surface meteorology station at 1 min
296 intervals, are correlated with N_{CCN} , N_{CN} and AR during the campaign. The analysis did not
297 reveal a significant correlation of N_{CCN} , N_{CN} and AR with ambient temperature over the site,

298 even during periods without seasonal variations in temperature, i.e. noon-to-early afternoon
299 hours during the hot season and/or evening/night hours during the cold period. Therefore, the
300 analysis is limited to possible association with wind speed and direction.

301 The seasonal wind rose diagram is shown in Fig. 4 along with the seasonal
302 distribution of N_{CN} (Fig. 5), N_{CCN} (Fig. 6) and AR (Fig. 7) at 0.31-0.33% S. The bivariate
303 plots (Openair software; Carslaw and Ropkins, 2012) could help to better visualize and
304 denote wind direction and air-mass origin (see section 3.4), while the colour in the plots
305 represents the magnitude of wind speed, N_{CN} , N_{CCN} and AR. All year round, the winds were
306 mostly from the northwest and southeast directions, with speed less than 5 m s^{-1} , indicating
307 that the source of near-surface aerosols is related to local emissions and long-range transport,
308 with the second mechanism dominating after examination of the diurnal patterns (Fig. 3). The
309 southeasterlies clearly dominate during the monsoon and northwesterlies dominate during
310 winter, while the two transition seasons have both wind directions (Fig. 4). The seasonally-
311 changed wind directions significantly affect aerosol properties, N_{CCN} and N_{CN} due to long-
312 range transported aerosols. The sensitivity of N_{CN} and N_{CCN} to wind direction is more
313 pronounced in March, while it is lower during monsoon, suggesting that the rainy washout in
314 this season reduces the influence of long-range aerosol transport over the GH region. N_{CN}
315 (Fig. 5) seems to be larger from southern and southwestern directions during winter revealing
316 the influence of IGP, while N_{CCN} (Fig. 6) follows a similar pattern in all seasons. In contrast,
317 AR (Fig. 7) seems to be somewhat lower for southern directions during all seasons. This is
318 more pronounced in winter, while in monsoon air masses from south could be associated with
319 high AR values. Seasonal variations of N_{CCN} , N_{CN} and AR at 0.31-0.33% S in the four wind
320 quadrants are summarized in Fig. 8, respectively. N_{CCN} and N_{CN} increase from monsoon
321 toward pre-monsoon (March) in all four quadrants whereas AR increases from monsoon to
322 post-monsoon and then remains almost constant for winter and March. The higher values of
323 N_{CCN} and N_{CN} in 180° - 270° and 270° - 360° quadrants are due to the transport of aerosols from
324 the IGP and west Asian regions, while the AR values are not strongly influenced by the wind
325 direction. However, the sectors that are associated with high N_{CCN} and N_{CN} seem to have
326 lower AR values, suggesting more hydrophobic aerosols.

327

328 3.4. Source analysis and long-range transport

329 In order to study aerosol source identification and the effects of long-range transport
330 over the observation site, the 5-days isentropic air mass back trajectories at 500 m above
331 ground level were analysed for 6 (00; 06; 12 and 18) hours singular. The HYSPLIT model
332 (Draxler et al., 2012; <http://ready.arl.noaa.gov/HYSPLIT.php>) was used together with the
333 Global Data Assimilation System (GDAS1) meteorological database as input to calculate the
334 air mass back trajectories in each season (Fig. 9). The trajectories are colour-coded according

335 to the altitude attained by the air masses along the pathway before arriving at the observation
336 site.

337 During the winter season, the wind pattern over the observation site is mostly
338 northwesterly (Fig. 4a), while during the pre- and post-monsoon seasons the air masses
339 circulate around the observation site with a clear western preference during pre-monsoon.
340 The high values of N_{CN} and N_{CCN} during winter are due to the arrival of air masses from the
341 Indian subcontinent and Southwest Asia. It is interesting to note that the western air masses
342 travel at higher altitudes (above 3 km), lowering in height, usually below 1 km, while
343 approaching the observation site. The lower values of N_{CN} and N_{CCN} during the Indian
344 summer monsoon are mostly due to rainfall washout and not so much due to clean marine air
345 masses from the Bay of Bengal (Hyvärinen et al., 2011). The highest N_{CCN} and N_{CN} during
346 the pre-monsoon season (Fig. 8) are mostly associated with air-masses coming from the arid
347 west Asian countries (e.g. Pakistan, Afghanistan, Iran) and passing through the Thar Desert,
348 while during post-monsoon the contribution of biomass-burning aerosols from IGP increases
349 (Kaskaoutis et al., 2014). The analysis shows that the air masses are mostly within the
350 atmospheric boundary layer (<500-1000 m) near the observation site and progressively
351 increase in altitude at greater distances, either towards the west (winter/pre-monsoon) or
352 towards the east-southeast (monsoon). This suggests that boundary layer dynamics over the
353 polluted IGP play a prominent role in long-range transport and air mass uplift (Dumka et al.,
354 2014b).

355 The mixing-height was also obtained from the hourly intervals of the HYSPLIT
356 outputs and estimated using the Turbulent Kinetic Energy (TKE) profile method (Draxler et
357 al., 2012), in which the mixing height is assigned to the height at which TKE either decreases
358 by a factor of two or to a value less than $0.21 \text{ (m}^2/\text{s}^2)$. The monthly statistics of the mixing
359 height at Nainital are given in Table 1 and the seasonal-mean diurnal variations are shown in
360 Fig. 10. The mixing height is lowest during December ($271.5 \pm 367.7 \text{ m}$ ranging between
361 12.8 and 1043.6 m) and highest during June ($672.6 \pm 553.6 \text{ m}$ ranging between 93.9 and
362 1546.9 m) following the thermal heating of the surface. Furthermore, its diurnal variation is
363 very strong, especially in March, with high values ($\sim 2000 \text{ m}$) during noon and just a few
364 meters during nighttime (Fig. 10). The diurnal pattern weakens in the other seasons; however,
365 it is characteristic of the boundary-layer dynamics trapping the aerosols near the ground
366 during the cold period of the year and during nighttime, and favouring their dilution (uplift to
367 higher altitudes) during noontime to afternoon. Opposite to that expected from the boundary-
368 layer dynamics, the diurnal variation of N_{CCN} and N_{CN} revealed higher values during noon-to-
369 early afternoon hours (Fig. 3) suggesting dominance of long-range transport of aerosols from
370 Ganges Basin and west Asia favoured in their uplift by the larger mixing height. In this
371 respect, Prabha et al. (2012) revealed the removal of pollution from the IGP to higher

372 atmospheric levels in association with dynamically forced updrafts. Their results showed that
373 the valley pollution could be uplifted to heights above the haze layer, favoured by the
374 buoyancy that is generated due to thermal heating of the surface during noon-to-early
375 afternoon hours. It should be noted that the monthly-mean diurnal variations (Fig. 3),
376 coincide well with the respective seasonal variations, thus allowing an association between
377 variations in N_{CN} , N_{CCN} and boundary-layer height.

378

379 3.5. Relation of N_{CCN} with S

380 In this section, we examine the monthly-mean N_{CCN} as a function of S and determine
381 the parameters for the “CCN spectrum” by following Jefferson (2010):

$$382 N_{CCN}(S) = cS^k \quad (1)$$

383 where S is the super-saturation in percent, c and k are constant terms that relate to the
384 particle number concentration and chemical composition. Figure 11 shows the monthly and
385 seasonal (winter and monsoon) means of N_{CCN} as a function of S, while the c and k
386 parameters were estimated for different ranges of S (Table 3) using the least-square fit
387 method in log-log scale (Khvorostyanov and Curry, 2006; Jefferson, 2010 and reference
388 therein). The results show that the increasing trends of N_{CCN} with S are less steep during June
389 to September (monsoon) compared to November - March (considered as winter), thus
390 associated with lower k values. During monsoon, the variation of N_{CCN} is constant above $S =$
391 0.45%, suggesting no CCN with critical S (S_c) > 0.45%, whereas N_{CCN} increases from 1500
392 to 2500 during winter, suggesting more particles with $S_c > 0.4\%$. The difference in increasing
393 rates between the two seasons reveals differences in particle composition and size. The c and
394 k values (0.10/0.17 – 0.75/0.78 S) range from $1092 \pm 47 \text{ cm}^{-3}$ (August) to $5065 \pm 755 \text{ cm}^{-3}$
395 (March) and 0.31 ± 0.13 (June) to 1.24 ± 0.12 (March), respectively (Table 3) and are, in
396 general, within the range of values reported for continental aerosols (Seinfeld and Pandis,
397 1998), except for December to March, where the c values are higher suggesting more turbid
398 atmospheres approaching urban conditions. The c value reflects the CCN concentration,
399 while k shows the increase in CCN with S, which shows a decreasing tendency for increasing
400 S (lower k values for larger S) (Hudson and Noble, 2014). This is mostly observed during the
401 winter period, while during monsoon k does not present a clear tendency with S. The k values
402 obtained at Nainital are higher than the value ($k = 0.15$) reported by Engelhart et al. (2008)
403 for aged monoterpene secondary organic aerosols and Bhattu and Tripathi (2014) at Kanpur
404 (0.16 ± 0.08) for dominance of organic species.

405

406 3.6. Correlation between N_{CCN} and N_{CN}

407 In this section, we further investigate the relationship between N_{CN} and N_{CCN} and AR.
408 Figure 12 represent the scatter plots of N_{CCN} and AR as a function of N_{CN} (integrated at every
409 500 cm^{-3} bin) during the whole measurement period at three S, i.e. 0.31-0.33%, 0.46-0.48%

410 and 0.75-0.78 %. The vertical bars represent the standard deviations about the means, while
411 the dotted lines are the fitted power-law functions [$Y = A(x-x_c)^P$ for N_{CCN} vs N_{CN} and $Y = a +$
412 bx^c for AR vs N_{CN}] for each S. The large standard deviations indicate that there is large
413 variability in N_{CCN} for each N_{CN} interval, suggesting that it is difficult to estimate N_{CCN} over
414 the GH region without having information about the chemical composition of aerosols (Yum
415 et al., 2007). Figure 12a shows that for all S N_{CCN} increases with increasing N_{CN} , initially
416 with a very high rate, which decrease above $5 - 6 \times 10^3 \text{ cm}^{-3} N_{CN}$. The AR shows decreasing
417 trend with N_{CN} , which becomes greater as N_{CN} increases indicating that more turbid
418 atmospheres are less CCN active. Similar results are also reported over Gosan, Korea for
419 polluted air masses during the Atmospheric Brown Clouds-East Asian Regional Experiment
420 (Yum et al., 2007).

421 Figure 13 presents the N_{CCN} variation as a function of N_{CN} , using raw data on seasonal
422 basis at two S levels 0.31-0.33 (black color) and 0.75-0.78 (red color). Although the
423 correlations appear to be similar in all seasons (i.e., increasing trend of N_{CCN} with N_{CN}),
424 differences are observed in the respective regression slopes. Thus, during winter, pre-
425 monsoon and monsoon, the regression slope between N_{CCN} and N_{CN} is about 0.37, while in
426 post-monsoon it is higher (0.50) at 0.33% S, suggesting more CCN. At 0.75-0.78% S level,
427 the slopes for post-monsoon, winter and pre-monsoon are similar (~0.63), while during
428 monsoon the slope is much lower (0.45), resulting in small differences in AR between the
429 two S levels. This indicates that N_{CCN} is either a weak (monsoon) or strong function of S. The
430 results also reveal that the differences in N_{CCN} between the two S levels are much larger at
431 high CN concentrations, suggesting that the larger aerosol loading needs higher S levels in
432 order to be CCN. In contrast, for aerosol concentrations below $\sim 3 \times 10^3 \text{ cm}^{-3}$, N_{CN} is similar to
433 N_{CCN} (especially in monsoon), revealing that the vast majority of particles are CCN.

434 In synopsis, the results revealed that the ratio of N_{CCN} to N_{CN} or AR is seasonally
435 dependent over Nainital indicating influence of distinct aerosol sources, transport pathways,
436 rainfall and mixing processes. Furthermore, the contrasting features of the N_{CN} vs N_{CCN} as a
437 function of S for monsoon and winter are examined in Fig. 14(a-e). The correlation
438 coefficients and the slopes of the linear regressions increase with the S levels for both
439 seasons, but the activation of CN to CCN is more efficient in winter (larger slopes) than in
440 monsoon (Fig. 14a-e). Furthermore, the slopes of activation increase in a faster rate with S
441 level in winter (from 0.18 at 0.17% S to 0.63 for 0.77% S) compared to monsoon (from 0.21
442 at 0.17% S to 0.42 at 0.77% S), suggesting larger increase in AR as a function of S in winter
443 (Fig. 14). Measurements at Puy-de-Dome (Asmi et al., 2012) revealed higher slope of CCN
444 vs CN during winter (slope = 0.47 with $R^2 = 0.80$) than monsoon (slope = 0.13 with $R^2 =$
445 0.15), results that are similar to those found at Nainital.

446

447 3.7. Comparison between Nainital and IGP-Kanpur site

448 During the period June-August 2011, simultaneous measurements of N_{CCN} and N_{CN}
449 were performed in Kanpur (independent from GVAX campaign). Figure 15a, b shows the
450 daily variation of N_{CCN} and N_{CN} , respectively at Kanpur and Nainital (box and whisker chart
451 view), while the daily-mean AR values are shown in Fig. 15c. For all the graphs the S level at
452 Kanpur is 0.2% and at Nainital 0.17%. Considerable day-to-day variation is observed in the
453 N_{CCN} and N_{CN} at both sites, which is much stronger in Kanpur due to higher rates of
454 anthropogenic emissions that enhance the concentrations 3 to 4 times those observed at
455 Nainital. The mean N_{CCN} at Kanpur was found to be $2408 \pm 1030 \text{ cm}^{-3}$ compared to $589 \pm$
456 288 cm^{-3} at Nainital, while the N_{CN} is $9862 \pm 4694 \text{ cm}^{-3}$ and $2132 \pm 701 \text{ cm}^{-3}$ at Kanpur and
457 Nainital, respectively. On the other hand, on specific days (i.e. 24 June and 3 July, 2011) the
458 CCN values at Kanpur and Nainital are comparable, but in the vast majority of the cases they
459 exhibit significant differences in both N_{CCN} and N_{CN} . Besides large differences in the N_{CCN}
460 and N_{CN} , the mean AR is comparable at both sites (0.30 ± 0.08 at Kanpur and 0.28 ± 0.15 at
461 Nainital), in spite of the deviations that are observed on certain days due to different aerosol
462 composition, the influence of various sources and additional anthropogenic emissions at
463 Kanpur (Ram et al., 2008, 2010; Kumar et al., 2014). The consistency in the AR values
464 suggests similarities in the origin, characteristics and chemical composition of aerosols,
465 supporting transport from the Ganges valley to the Himalayan foothills. The differences
466 between the two locations for higher S levels (0.31-0.4 and 0.75-0.8) were found to be similar
467 to those at 0.2% S and the results are summarized in Table 4. Based on two years of
468 continuous measurements of CCN and CN at Kanpur, Patidar et al. (2012) reported
469 significant inter and intra-seasonal variations, which could be due to varying local emissions,
470 influence of long-range transport and different chemical composition. In synopsis, the N_{CCN}
471 and N_{CN} over Kanpur are very high (about 3-4 times more) compared to those at Nainital,
472 attributed to the turbid environment over the Ganges basin during the whole year (Kaskaoutis
473 et al., 2013).

474

475 4. Conclusions

476 The time-series analysis of N_{CN} , N_{CCN} and AR was presented in the framework of an
477 intensive field campaign GVAX in the Gangetic - Himalayan region during June 2011 to
478 March 2012. The measurements correspond to the Nainital site, located in Indian Himalayas
479 (1958 m amsl) above the polluted Ganges basin. The main findings of the study are
480 summarized as follows:

- 481 1. The CCN, CN and AR showed a pronounced monthly variation with high values during
482 November to March and low during June to September.

- 483 2. Strong-to-neutral diurnal variation of N_{CCN} and N_{CN} was observed during the winter-to-
484 summer season, with afternoon **maximums**, while the diurnal variation of AR was in
485 opposite phase (morning maximum and noon minimum). The lower AR during noontime
486 suggests more non-CCN particles transported from IGP. The diurnal cycles were
487 attributed to the evolution of the atmospheric boundary layer and the uplift of pollutants
488 from the IGP during the **afternoon**.
- 489 3. **Seasonally-changing meteorological conditions favouring transport of aerosols from**
490 **different sources, along with boundary-layer dynamics and RH variations, seem to play**
491 **an important role in CCN concentrations.** The air-mass back trajectories revealed
492 dominance of aerosol transport from northwestern India and the west Asian regions,
493 especially during winter and pre-monsoon. In contrast, during summer monsoon the air
494 masses were mostly of marine origin and, combined with the rainy washout over northern
495 India, they were associated with lower aerosol concentrations.
- 496 4. **The average values of k obtained from the power law fit between N_{CCN} and S were found**
497 **to range between 0.31 ± 0.13 (June) and 1.24 ± 0.12 (March), while k exhibited a**
498 **decreasing trend with S during winter and was nearly constant in monsoon. Furthermore,**
499 **the particles were found to have larger values of k during winter, whereas in monsoon**
500 **N_{CCN} increases with S till $\sim 0.45\%$ S and remains rather constant above it. N_{CCN} increased**
501 **significantly with increasing N_{CN} , but AR decreased with N_{CN} at all S levels suggesting**
502 **that the more turbid atmospheres do not favour CCN.**
- 503 5. The N_{CCN} and N_{CN} values at Nainital were much lower (3-4 times) than those measured at
504 Kanpur during the monsoon period. In contrast, the mean AR was found to be similar at
505 the two sites suggesting aerosols of similar origin and characteristics, although on some
506 days it exhibited significant differences.

507

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520

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775 **Table 1:** Monthly statistics of CCN, CN and AR for S = 0.31 - 0.33% and mixing height derived from HYSPLIT.

Month	$N_{CCN} (cm^{-3})$				$N_{CN}(cm^{-3})$				$AR = N_{CCN}/N_{CN}$				Mixing Height (in meter)			
	Mean \pm SD	Min	Med	Max	Mean \pm SD	Min	Med	Max	Mean \pm SD	Min	Med	Max	Mean \pm SD	Min	Med	Max
Jun	925 \pm 601	77	911	2454	2425 \pm 1112	785	2420	4955	0.38 \pm 0.11	0.11	0.40	0.56	672.62 \pm 553.56	93.86	543.01	1546.92
Jul	881 \pm 500	257	733	2738	1874 \pm 776	1062	1693	4951	0.47 \pm 0.11	0.20	0.50	0.65	464.60 \pm 364.61	91.52	373.61	1039.49
Aug	684 \pm 396	24	760	1400	1606 \pm 453	702	1662	2427	0.42 \pm 0.18	0.03	0.48	0.67	381.85 \pm 366.40	64.29	296.46	866.44
Sep	1233 \pm 677	351	1045	2763	2304 \pm 904	1323	1978	4577	0.54 \pm 0.12	0.23	0.58	0.72	371.16 \pm 366.40	25.26	214.90	1008.40
Nov	2026 \pm 813	739	1914	3649	3485 \pm 1062	1954	3460	6555	0.60 \pm 0.12	0.33	0.62	0.77	314.38 \pm 387.78	13.83	20.76	1066.31
Dec	1465 \pm 510	670	1440	2574	3193 \pm 1065	1332	3184	5808	0.52 \pm 0.11	0.16	0.54	0.69	271.52 \pm 367.71	12.82	16.32	1043.61
Jan	1500 \pm 591	144	1463	2739	3155 \pm 934	876	3183	5648	0.49 \pm 0.10	0.20	0.52	0.65	365.11 \pm 469.32	35.39	56.33	1402.45
Feb	1757 \pm 397	880	1718	2531	3383 \pm 708	1698	3308	4844	0.54 \pm 0.06	0.41	0.55	0.64	401.31 \pm 497.93	37.81	93.81	1490.72
Mar	2065 \pm 476	1253	1985	3247	4124 \pm 747	2708	3944	5835	0.54 \pm 0.09	0.40	0.52	0.74	548.36 \pm 638.77	25.79	211.21	1921.10

776

777 **Table 2:** Comparison of N_{CN} , N_{CCN} and AR over Nainital during GVAX campaign along with those measured at high altitude sites.

Site Name (Altitude)	Sampling Period	N_{CCN} (cm^{-3}) Mean \pm SD	N_{CN} (cm^{-3}) Mean \pm SD	AR Mean \pm SD	References
Nainital (~1958m amsl)	Jun 2011-Mar 2012	1264 \pm 895	2619 \pm 1738	0.49 \pm 0.19 at 0.31-0.33% S	Present Study
	Monsoon (JJA)	836 \pm 618	1955 \pm 1271	0.42 \pm 0.20 at 0.31-0.33% S	
	Winter (DJF)	1590 \pm 892	3211 \pm 1801	0.52 \pm 0.17 at 0.31 -0.33% S	
Puy-de-Dome (1465 m), France	Jun-Jul 2011 (Summer)	200-2000	100 -10000	0.2 – 0.7 at 0.24% S	Asmi et al., 2012
	Jan-Feb 2012 (Winter)	50-3000			
Mt Sonnblick (3104 m), Austria	September 1995	80-570 (mean=243) at 0.5% S			Hitzenberger et al., 1999
	July 1996	29-786 (mean=402) at 0.5% S			
Storm Peak Laboratory (3210m), Northwestern Colorado	March 2011	1-470	400-2000		Friedman et al., 2013
Jungfrauoch (3580 m), Switzerland	May 2008	0.1-600 (149 \pm 171) at 0.12% S 27-1582 (568 \pm 401) at 1.18% S	40-1720 (550)		Jurányi et al, 2010

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781 **Table 3:** Monthly mean values of c and k parameters at Nainital obtained from the power law fit (Eq. 1) for different S ranges.

782

Month	c	k	k (0.17-0.31)	k (0.31-0.46)	k (0.46-0.60)	k (0.60 - 0.75)
	S range (0.17, 0.31, 0.46, 0.60 & 0.75)					
Jun	1708 ± 256	0.57 ± 0.11	0.619	0.652	0.663	0.569
Jul	1386 ± 97	0.45 ± 0.08	0.615	0.652	0.651	0.561
Aug	1092 ± 47	0.45 ± 0.04	0.623	0.649	0.650	0.556
Sep	1607 ± 61	0.39 ± 0.03	0.632	0.655	0.648	0.554
	S range (0.10, 0.22, 0.33, 0.48, 0.63 & 0.78)		k (0.22-0.33)	k (0.33-0.48)	k (0.48-0.63)	k (0.63 - 0.78)
Nov	3005 ± 233	0.73 ± 0.06	0.704	0.645	0.617	0.531
Dec	3123 ± 514	1.13 ± 0.13	0.666	0.633	0.623	0.533
Jan	3678 ± 328	0.87 ± 0.11	0.680	0.643	0.624	0.533
Feb	3523 ± 545	1.06 ± 0.15	0.667	0.645	0.624	0.533
Mar	5065 ± 755	1.24 ± 0.12	0.669	0.637	0.615	0.531

783

784 **Table 4:** Mean (\pm SD) values of N_{CCN} , N_{CN} and AR at Kanpur and Nainital during June to August 2011.

785

	Kanpur			Nainital		
	S = 0.2%	S = 0.4%	S = 0.8%	S = 0.17%	S = 0.31%	S = 0.75%
N_{CCN}	2408 ± 1030	3682 ± 1093	3868 ± 944	589 ± 288	927 ± 397	1265 ± 499
N_{CN}	9862 ± 4694	9862 ± 4694	9862 ± 4694	2132 ± 701	2118 ± 665	2078 ± 665
AR	0.30 ± 0.08	0.48 ± 0.10	0.70 ± 0.24	0.28 ± 0.15	0.42 ± 0.14	0.47 ± 0.17

786

1 **Seasonal inhomogeneity in cloud precursors over Gangetic Himalayan region during**
 2 **GVAX campaign**

3 *U. C. Dumka^{1*}, Deepika Bhattu², S. N. Tripathi², D. G. Kaskaoutis³, and B. L. Madhavan⁴*

4 ¹*Aryabhata Research Institute of observational sciences (ARIES), Nainital, India*

5 ²*Department of Civil Engineering, Indian Institute of Technology, Kanpur, India*

6 ³*Department of Physics, School of Natural Sciences, Shiv Nadar University, India*

7 ⁴*Leibniz Institute for Tropospheric Research (TROPOS), Germany*

8 [E-mail: dumka@aries.res.in; dimitris.kaskaoutis@snu.edu.in; snt@iitk.ac.in]

9
 10 **Figure Captions:**

11 **Figure 1:-** Topography map with measuring sites referred in the text.

12 **Figure 2:-** Temporal variation of cloud condensation nuclei (N_{CCN}), condensation nuclei
 13 (N_{CN}) and activation ratio (AR) at four (0.17-0.22%, 0.31-0.33%, 0.46-0.48% and 0.75-
 14 0.78%, respectively) **S levels** during June 2011 to March 2012.

15 **Figure 3:-** Monthly-mean diurnal variation of N_{CCN} , N_{CN} and AR at 0.31-0.33% **S** during
 16 June, November, December and March. The vertical bars correspond to one standard
 17 deviation.

18 **Figure 4:-** Wind-rose diagram during monsoon (June - August), post-monsoon/autumn
 19 (September - November), winter (December - February) and pre-monsoon/spring (March
 20 only) based on measurements taken from June 2011 to March 2012. The grey circles show
 21 the % frequencies of counts per wind direction.

22 **Figure 5:-** Wind dependency of N_{CN} (cm^{-3}) at 0.31- 0.33% **S**.

23 **Figure 6:-** Same as in Fig. 5, but for N_{CCN} .

24 **Figure 7:-** Same as in Fig. 5, but for AR.

25 **Figure 8:-** Seasonal-mean variation of N_{CCN} , N_{CN} and AR (% in 4 quadrants: 0° - 90° , 90° -
 26 180° , 180° - 270° and 270° - 360° , respectively) at 0.31-0.33% **S**. The vertical bars correspond to
 27 one standard deviation.

28 **Figure 9:-** Five-day HYSPLIT air mass back trajectories end at 500 m AGL at Nainital for
 29 the four seasons. The colour scale represents the travelling altitude by the air mass before
 30 reaching at the observation site.

31 **Figure 10:-** Seasonal-mean diurnal variation of the mixing height (MH) over Nainital in box
 32 and whisker charts view. Box represents the 50% (from 25-75%) of the values. Horizontal
 33 lines inside box represent mean (thin line) and median (thick line), respectively.

34 **Figure 11:-** (a) Monthly-averaged N_{CCN} as a function of **S** and, (b) N_{CCN} variation as a
 35 function of **S** for winter (December – February) and monsoon (June – August).

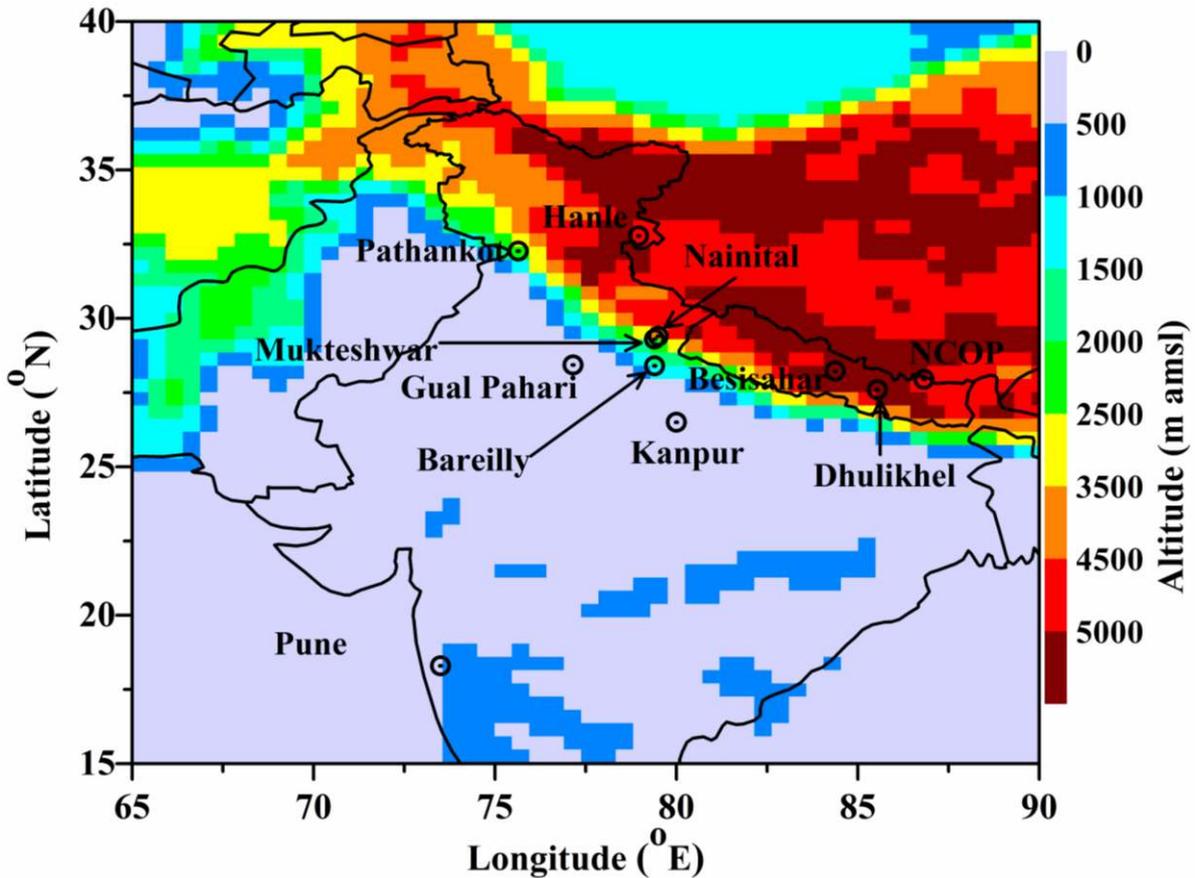
36 **Figure 12:-** (a) N_{CCN} and (b) AR at different S (0.31-0.33%, 0.46-0.48% and 0.75-0.78%,
 37 respectively) levels as a function of N_{CN} , which is integrated over $500/cm^3$ bins. The vertical
 38 bars represent the standard deviation. The power law fits are shown as dotted lines.

39 **Figure 13:** Correlation between N_{CN} and N_{CCN} at two S levels 0.31-0.33 (black color) and
 40 0.75-0.78 (red color) in each season at Nainital. The whole set of measurements was used in
 41 the correlations, while the slope and R^2 values of the linear regressions are given for each
 42 case.

43 **Figure 14:** Scatter plot between N_{CN} and N_{CCN} at five S levels during winter (December-
 44 January; red color) and monsoon (June-August; black color). The dash and solid lines shows
 45 the linear least square fits between N_{CN} and N_{CCN} . The slope and R^2 values of the linear
 46 regressions are also given in each panel.

47 **Figure 15:-** Daily average values of N_{CCN} (a), N_{CN} (b) and AR (c) in Kanpur and Nainital
 48 during June to August 2011. For all the graphs the S level at Kanpur is 0.2% and at Nainital
 49 0.17%. The boxes correspond to 50% (25-75%) of the values and the vertical bars correspond
 50 to one standard deviation. The solid lines into the boxes stand for the mean.

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Figure 1

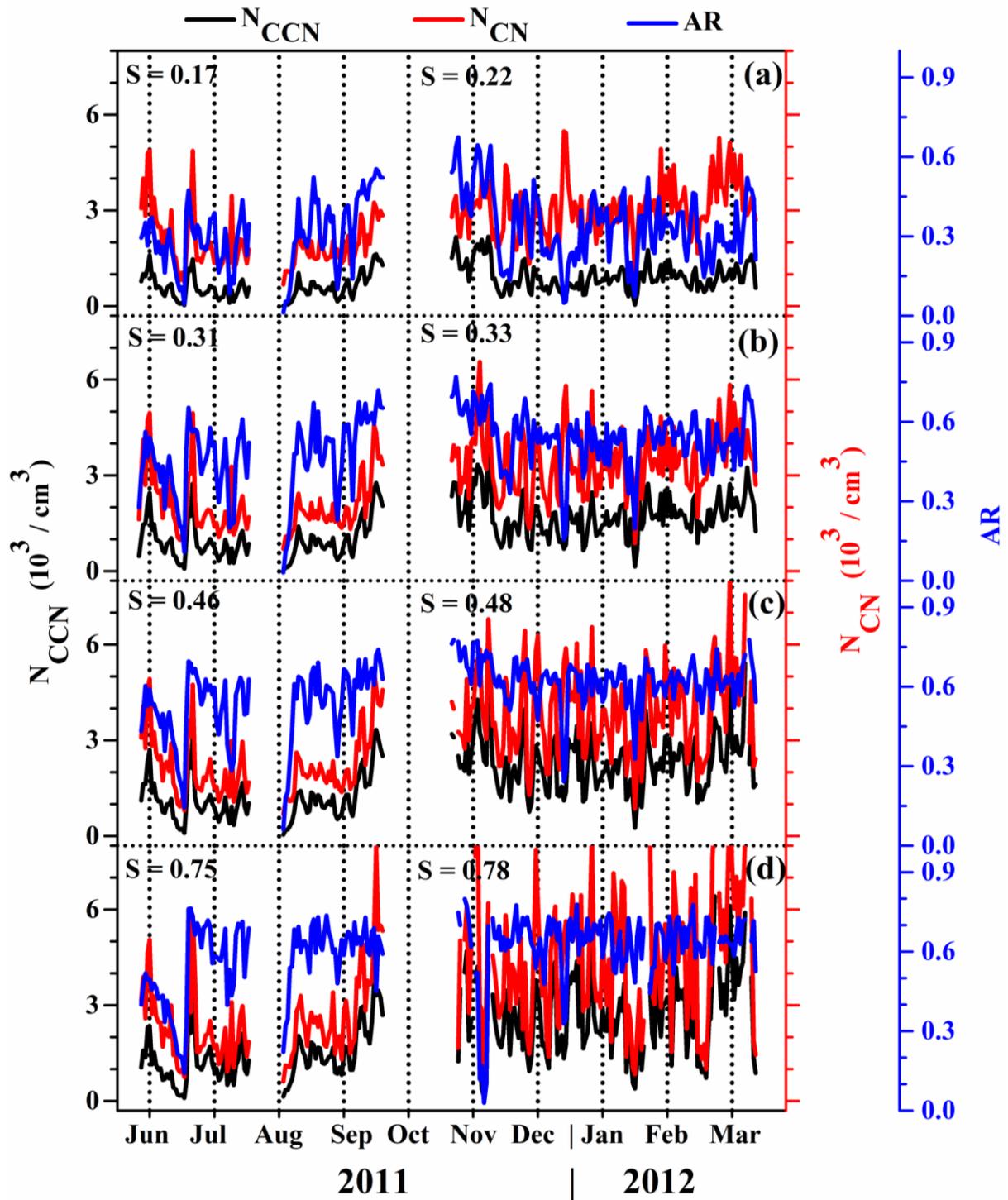


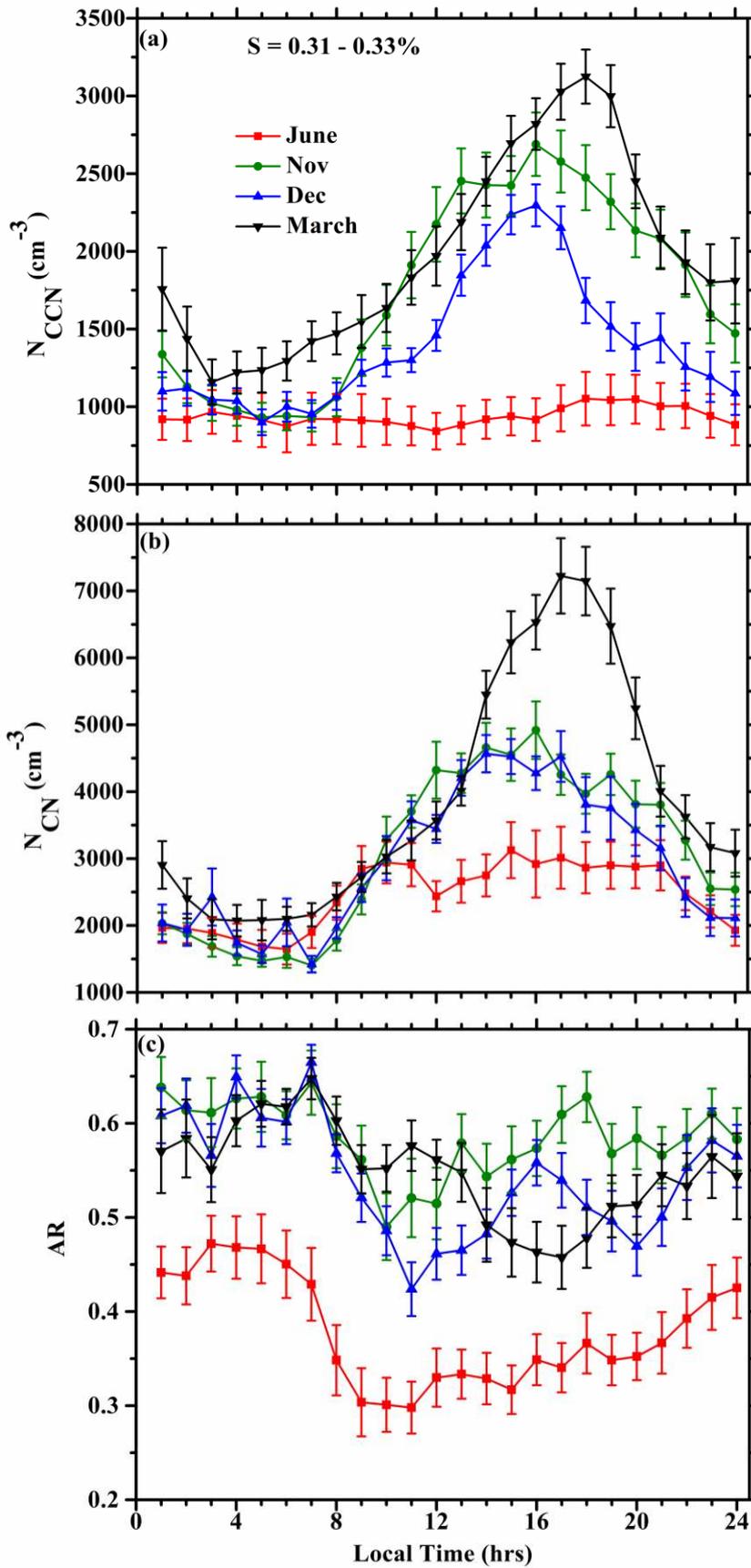
Figure 2

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Figure 3

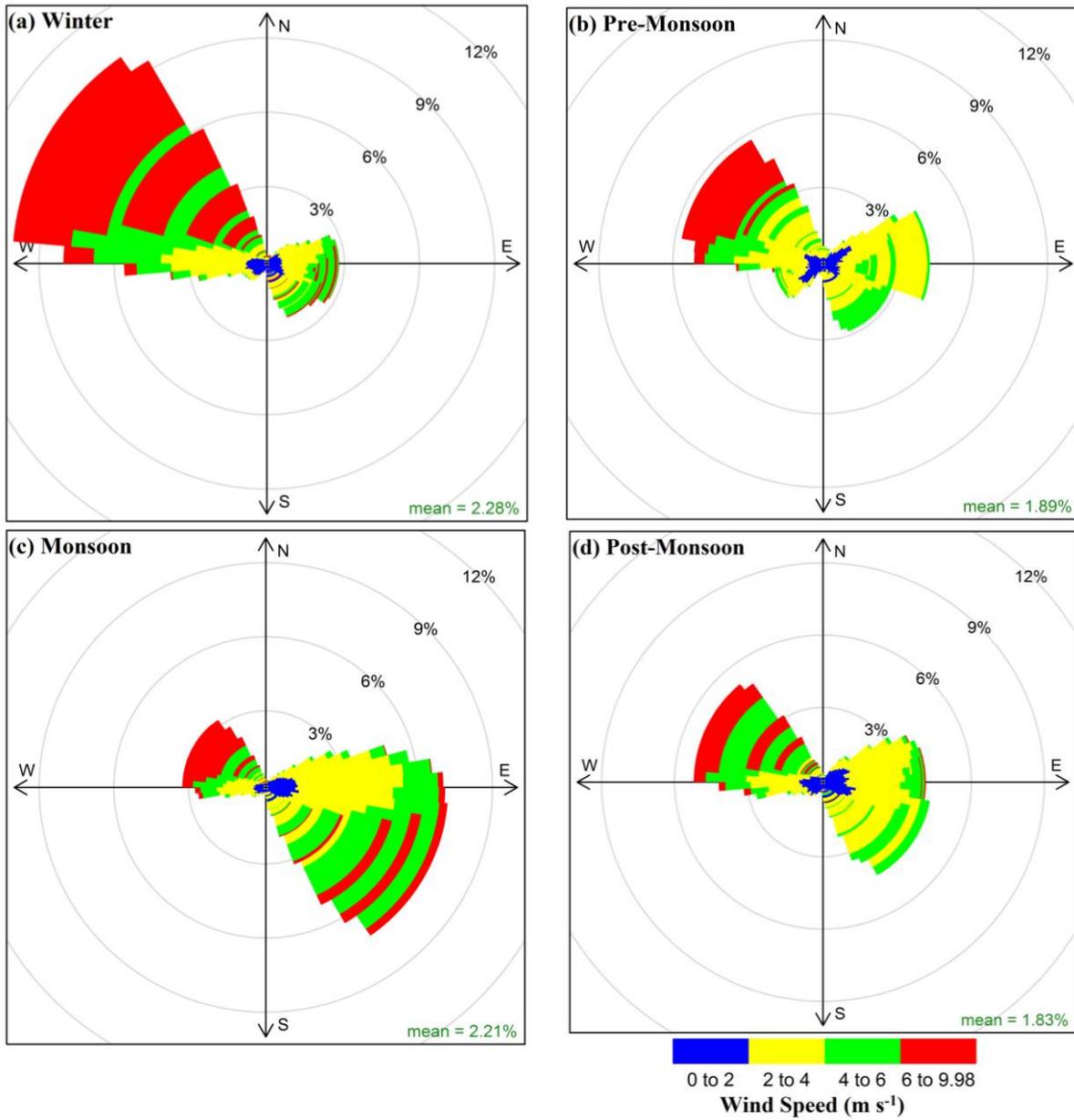


Figure 4

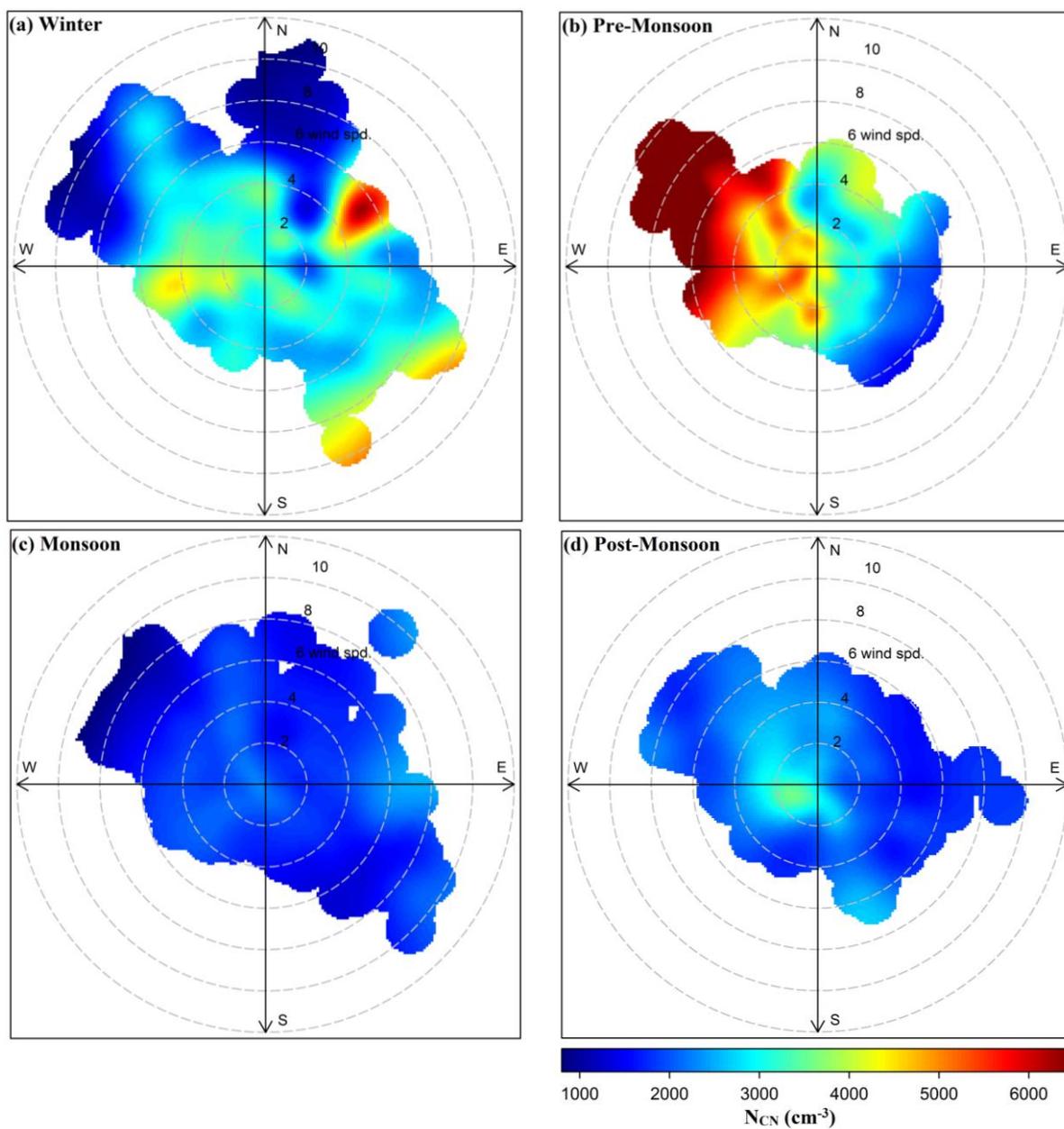


Figure 5

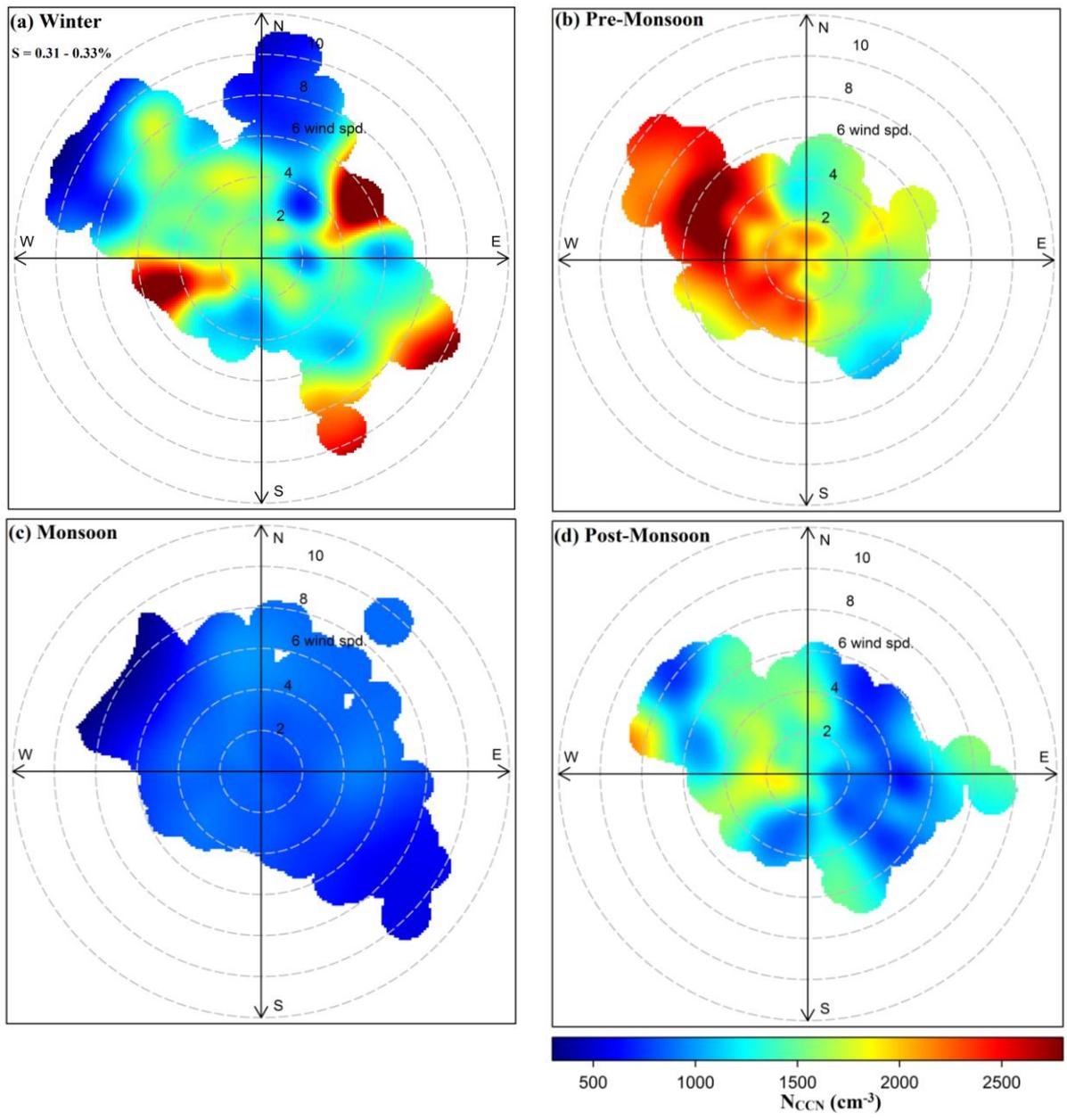


Figure 6

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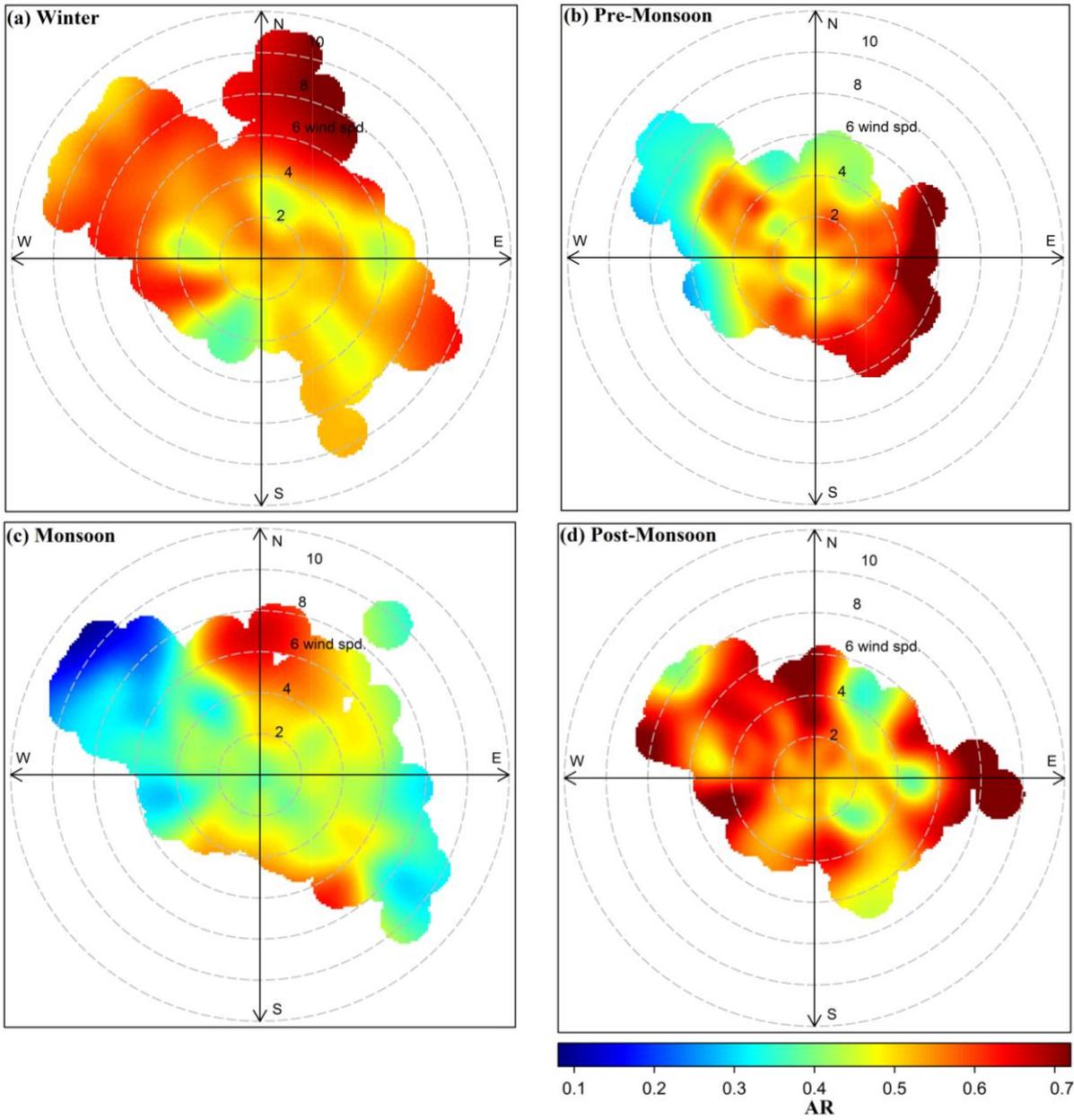


Figure 7

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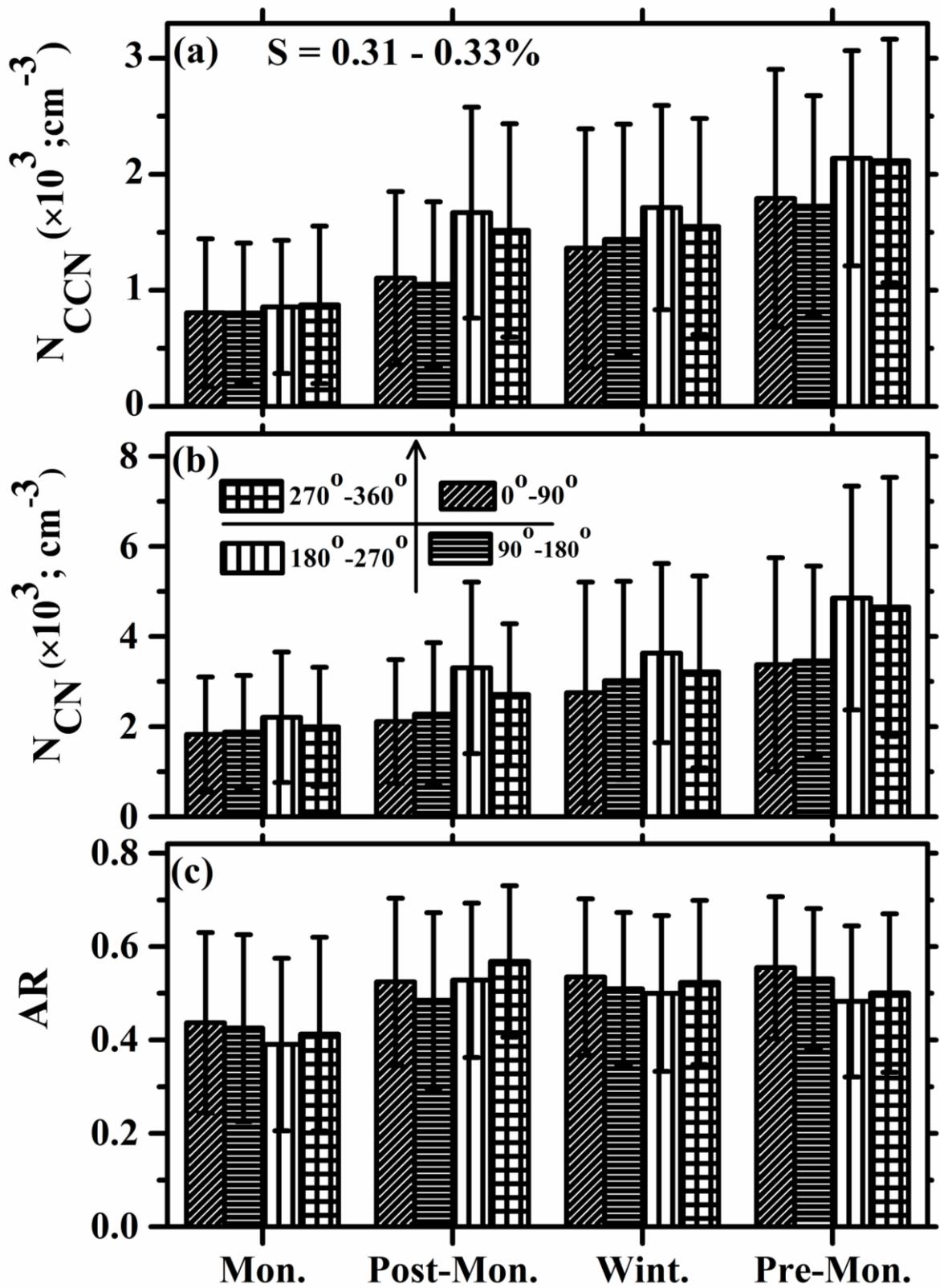
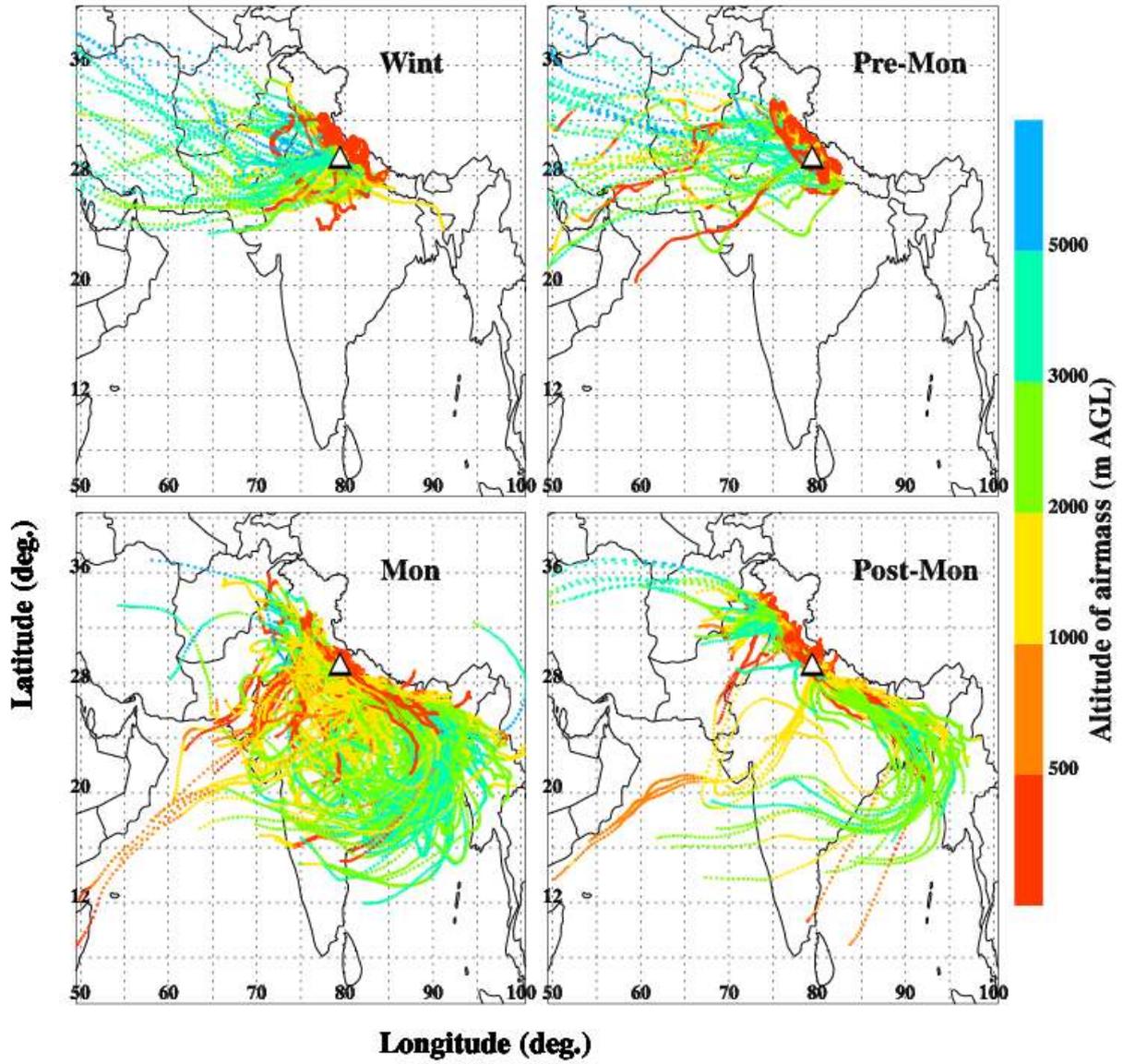


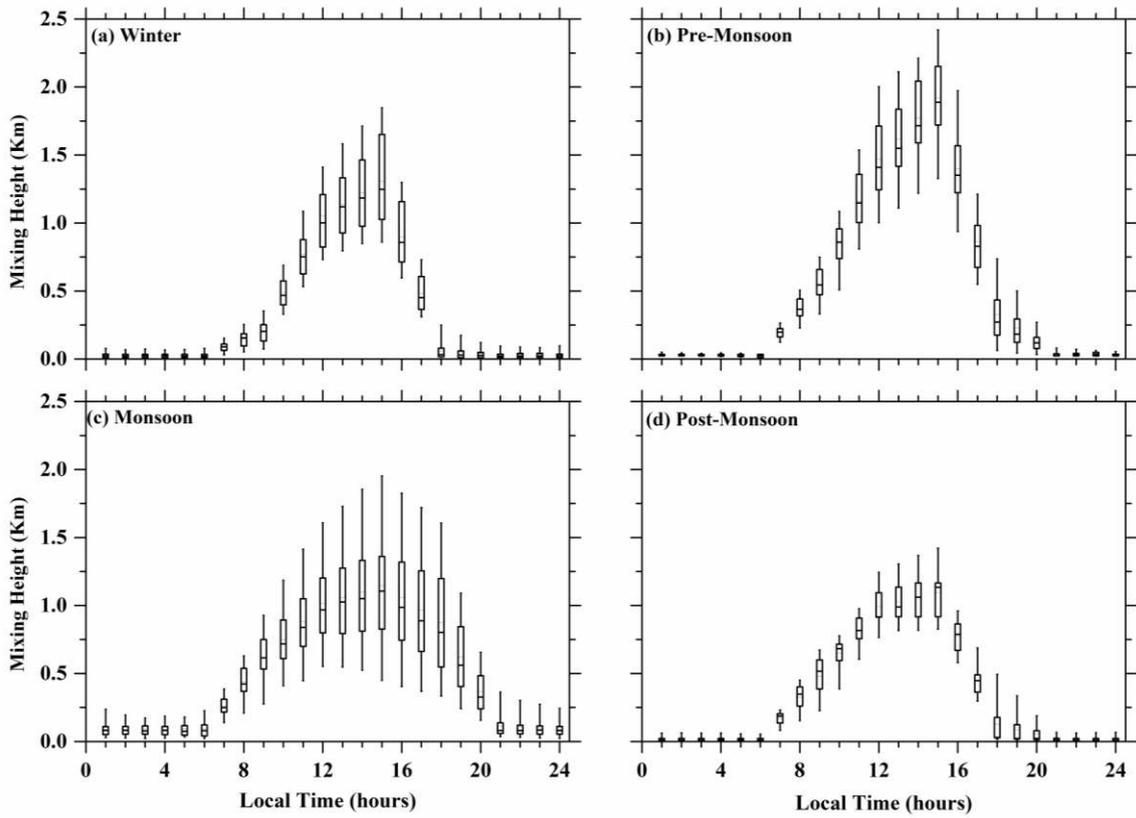
Figure 8

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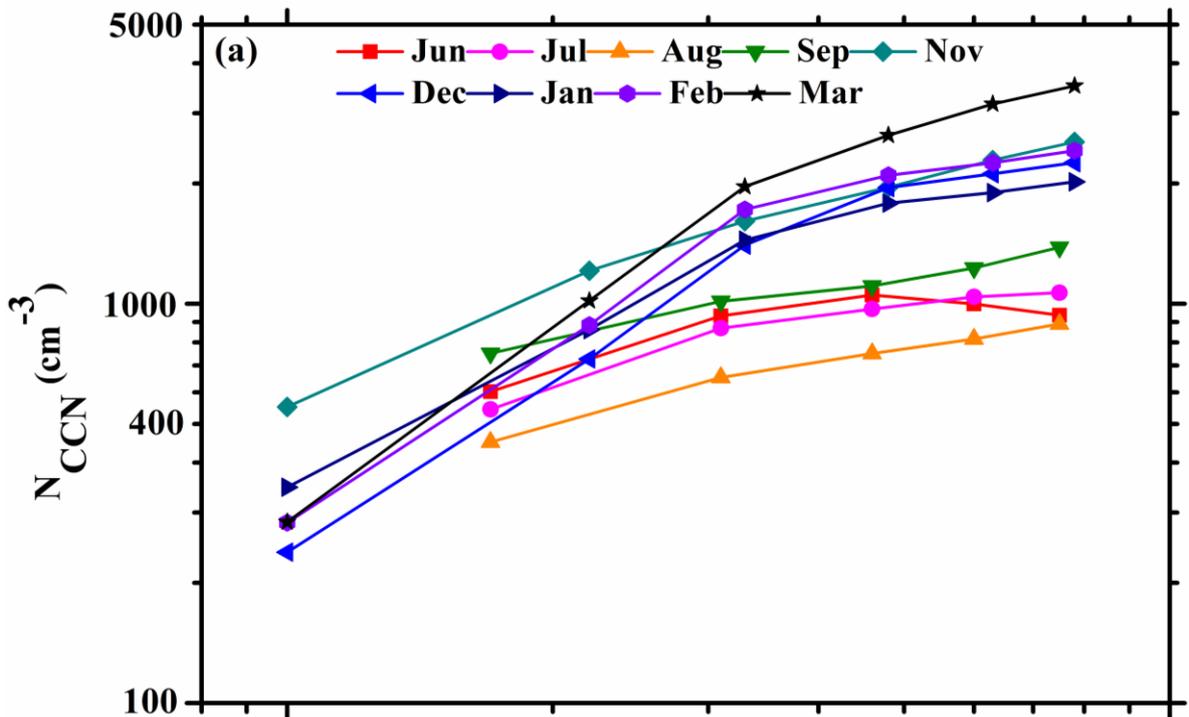
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Figure 9

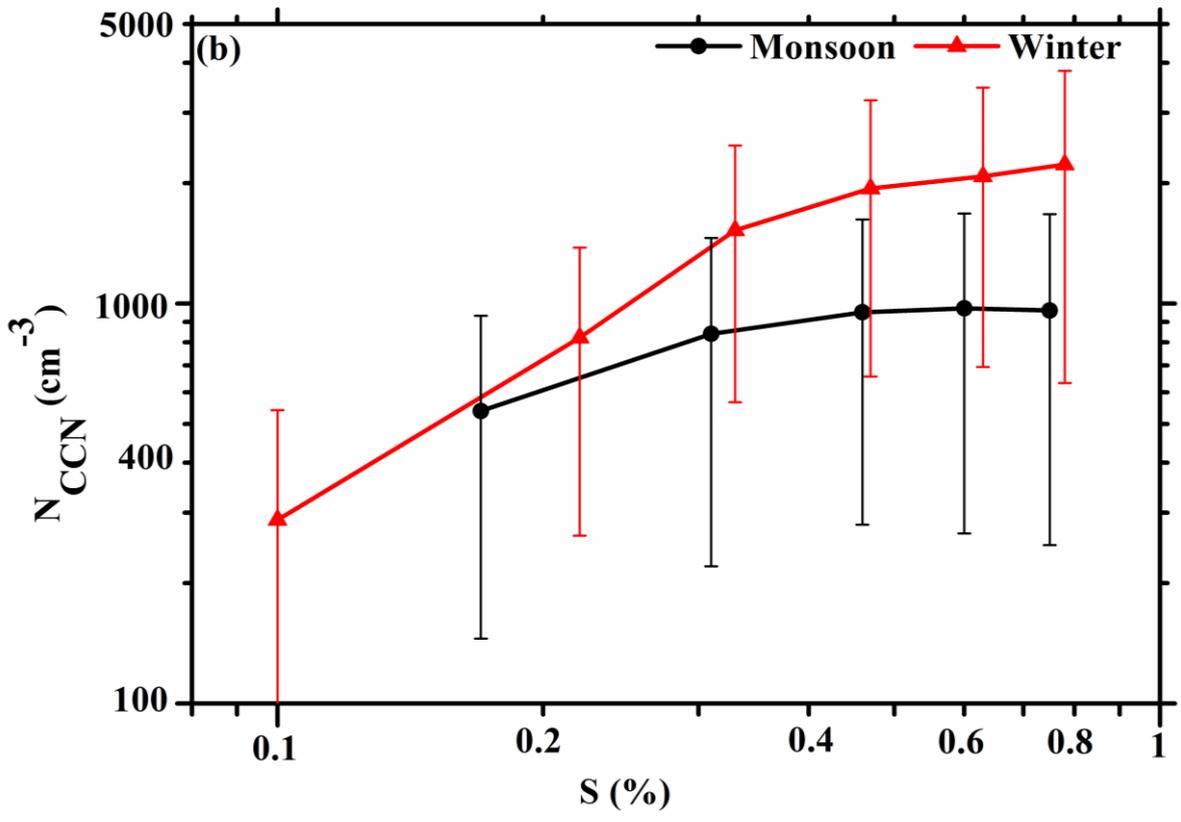


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Figure 10



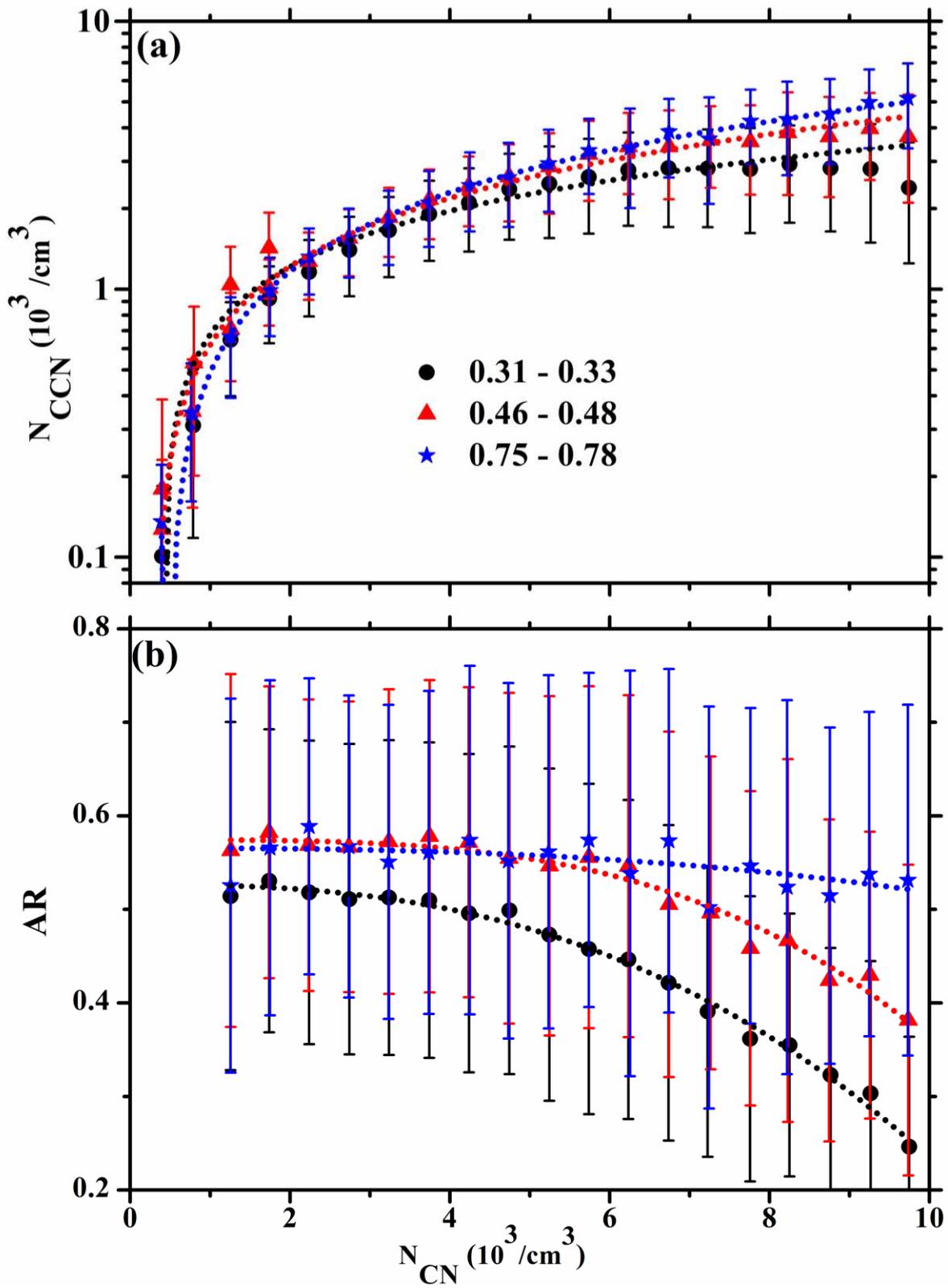
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Figure 11



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Figure 12

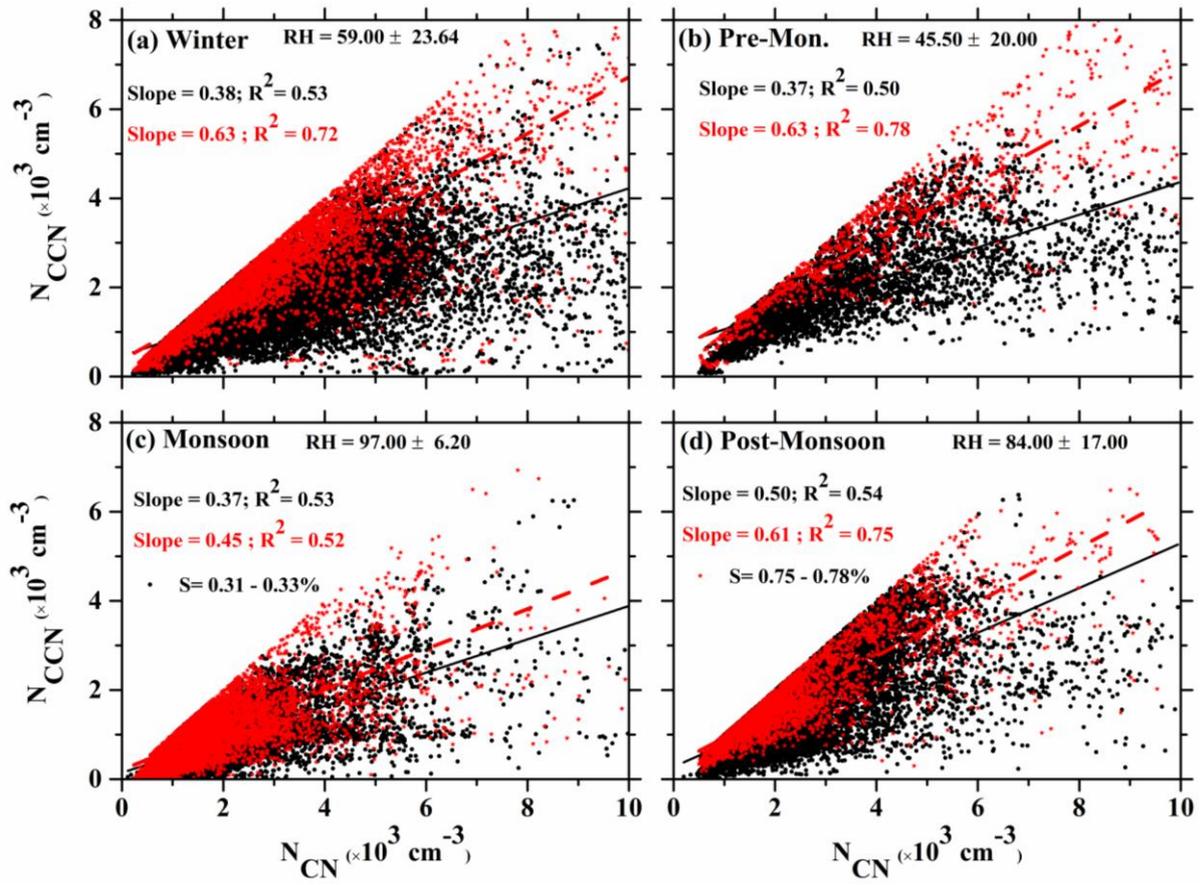


Figure 13

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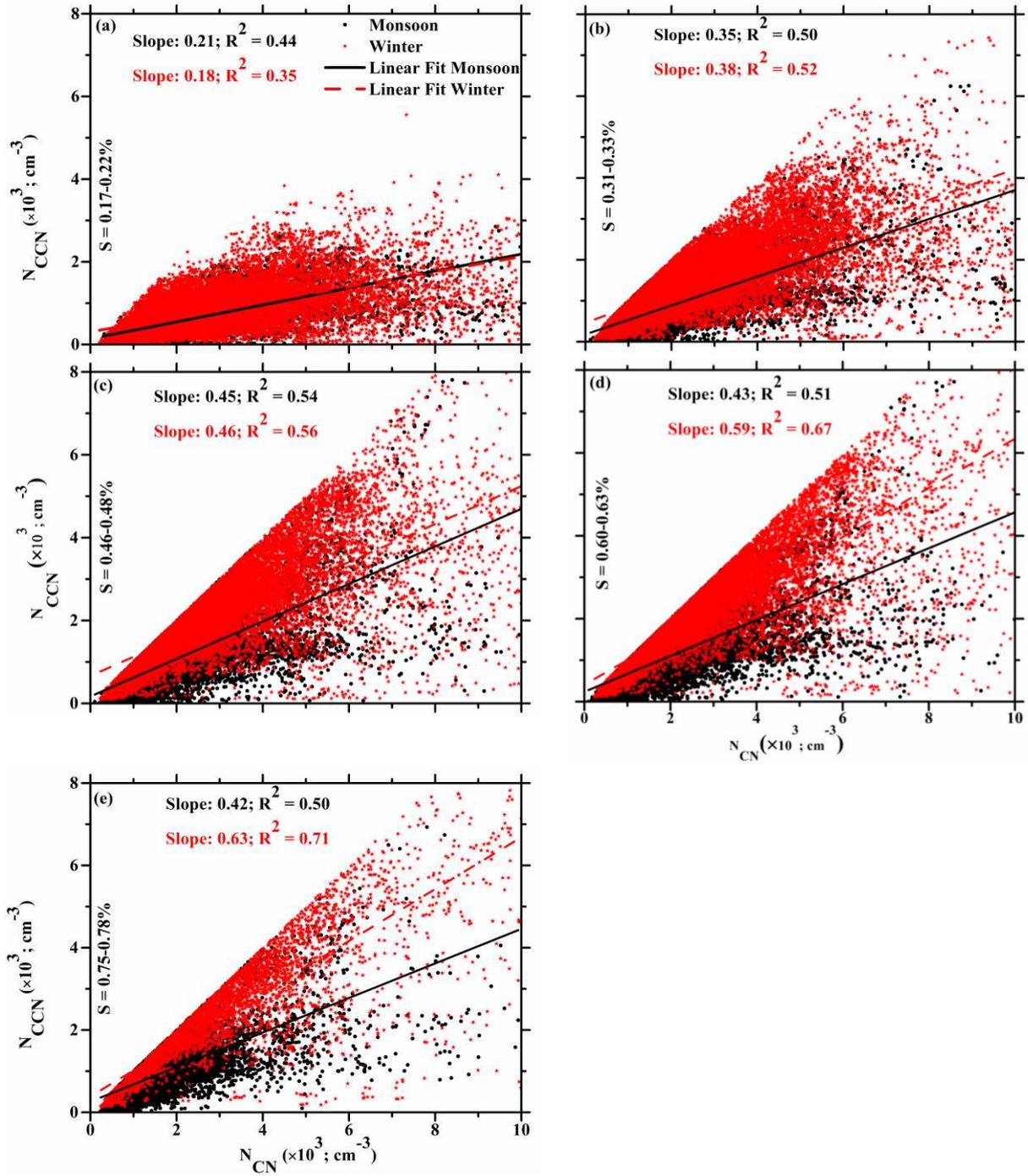


Figure 14

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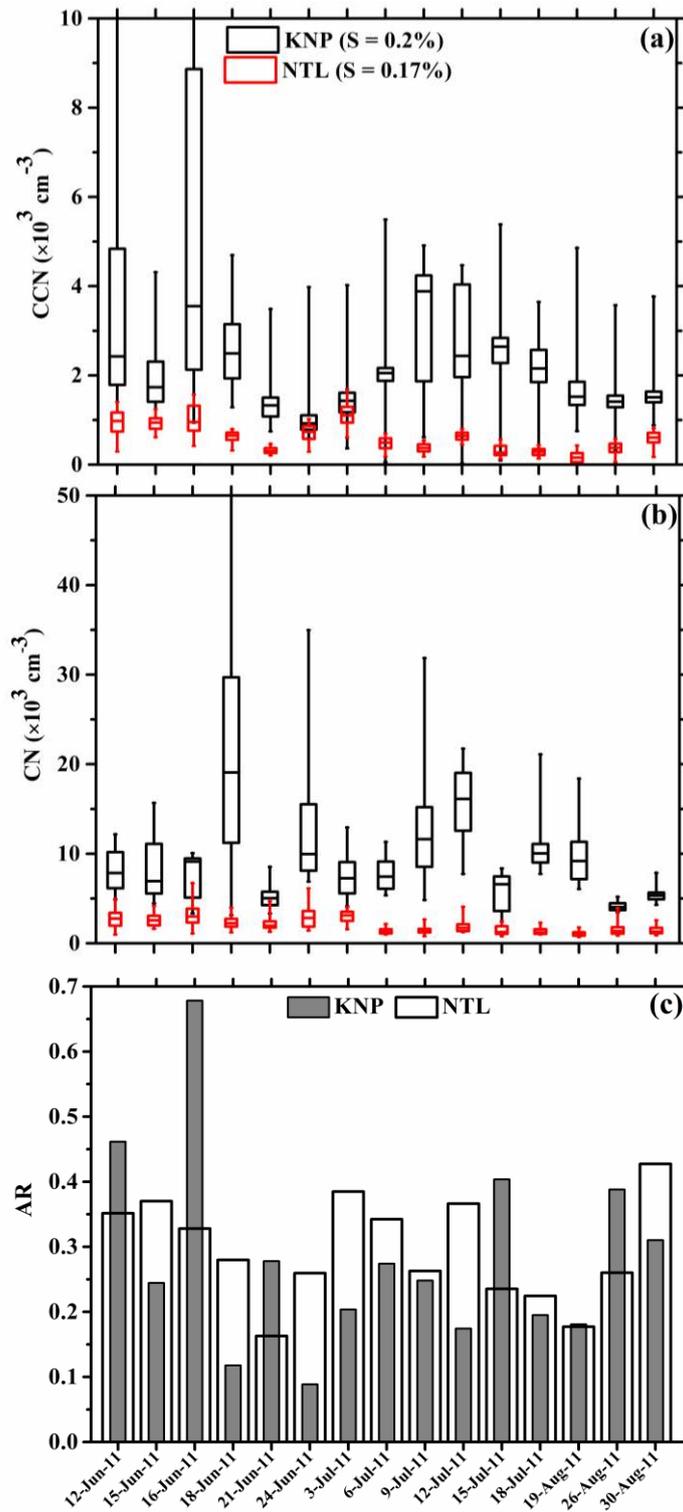


Figure 15

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